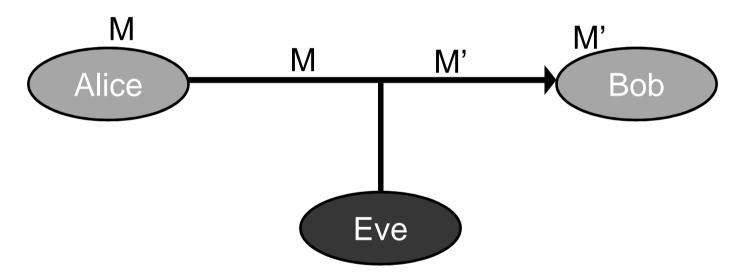
## Introduction to Cryptography

Lecture 5

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### Data Integrity, Message Authentication

 Risk: an active adversary might change messages exchanged between Alice and Bob



• Authentication is orthogonal to secrecy. It is a relevant challenge regardless of whether encryption is applied.

#### Encryption alone is insufficient - One Time Pad

- OTP is a perfect cipher, yet provides no authentication
  - Plaintext x<sub>1</sub>x<sub>2</sub>...x<sub>n</sub>
  - Key  $k_1 k_2 \dots k_n$
  - Ciphertext  $c_1=x_1\oplus k_1$ ,  $c_2=x_2\oplus k_2,...,c_n=x_n\oplus k_n$
- Adversary changes, e.g., c₂ to 1⊕c₂
- User decrypts 1⊕x<sub>2</sub>
- Error-detection codes are insufficient.
  - For example, linear codes, such as parity codes, can be changed by the adversary, even if encrypted.
  - They were not designed to withstand adversarial behavior.

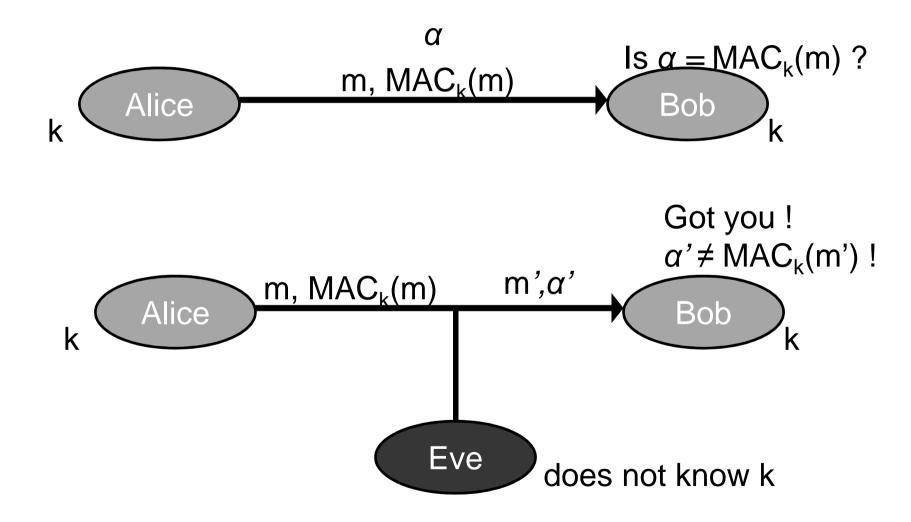
### The setting

- A random key K is shared between Alice and Bob.
- Authentication (tagging) algorithm:
  - Compute a Message Authentication Code:  $\alpha = MAC_{\kappa}(m)$ .
  - Send m and  $\alpha$
- Verification algorithm:  $V_{\kappa}(m, \alpha)$ . Output is a single bit.
  - $-V_{\kappa}(m, MAC_{\kappa}(m)) = accept.$
- How does  $V_k(m)$  work?
  - Receiver knows k. Receives m and  $\alpha$ .
  - Receiver uses k to compute  $MAC_{\kappa}(m)$ .
  - $-V_K(m, \alpha) = 1$  iff  $MAC_K(m) = \alpha$ .

## Definitions – security against chosen message attacks

- The authentication game
  - A secret key K is chosen at random.
  - The adversary can obtain the MAC  $MAC_K(m)$  on any message m of its choice.
  - Let Q be the set of messages whose MACs were learned by the adversary.
  - At the end, the adversary outputs  $(m', \alpha')$ , for an  $m' \notin \mathbb{Q}$ .
  - The adversary succeeds if  $V_{\kappa}(m', \alpha') = accept$ .
- A message authentication scheme MAC is  $(t,\epsilon)$ -secure if for every adversary A that runs for at most t steps, the probability of success is at most  $\epsilon$ .

#### Common Usage of MACs for message authentication



#### Requirements

- Security: The adversary,
  - Knows the MAC algorithm (but not K).
  - Is given many pairs  $(m_i, MAC_K(m_i))$ , where the  $m_i$  values might also be chosen by the adversary (chosen plaintext).
  - Cannot compute  $(m, MAC_{\kappa}(m))$  for any new  $m \ (\forall i \ m \neq m_i)$ .
  - The adversary must not be able to compute  $MAC_K(m)$  even for a message m which is "meaningless" (since we don't know the context of the attack).
- Efficiency: MAC output must be of fixed length, and as short as possible.
  - $-\Rightarrow$  The MAC function is not 1-to-1.
  - $\Rightarrow$  An n bit MAC can be broken with prob. of at least 2<sup>-n</sup>.

## Constructing MACs

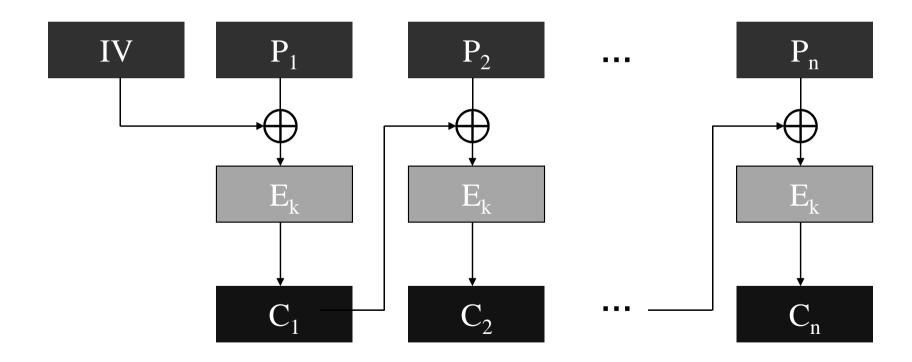
- Length of MAC output must be at least n bits, if we do not want the cheating probability to be greater than 2<sup>-n</sup>
- Constructions of MACs
  - Based on block ciphers (CBC-MAC)

or,

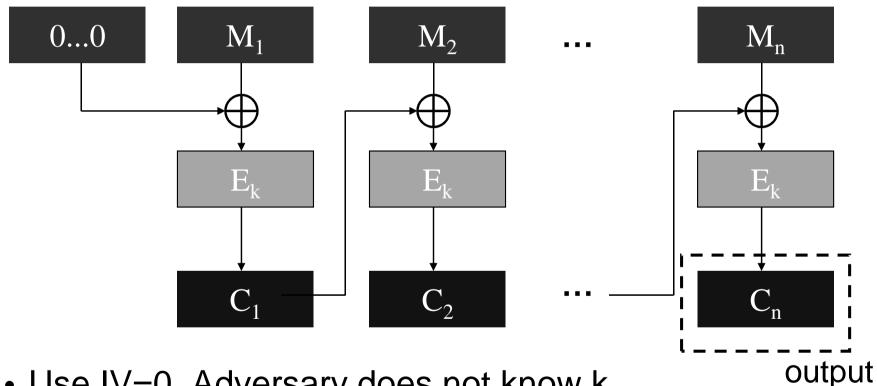
- Based on hash functions
  - More efficient
  - At the time, encryption technology was controlled (export restricted) and it was preferable to use other means when possible.

#### **CBC**

- Reminder: CBC encryption
- Plaintext block is xored with previous ciphertext block



#### **CBC MAC**



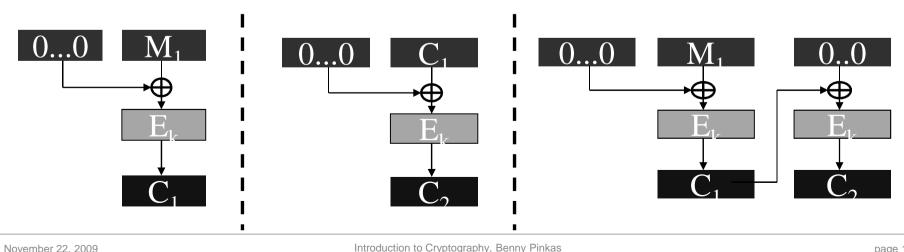
- Use IV=0. Adversary does not know k.
- Encrypt M in CBC mode, using the MAC key. Discard  $C_1, \dots, C_{n-1}$  and define  $MAC_k(M_1, \dots, M_n) = C_n$ .

## Security of CBC-MAC

- Claim: if  $E_{\kappa}$  is pseudo-random then
  - CBC-MAC, applied to fixed length messages, is a pseudorandom function,
  - and is therefore a secure MAC (i.e., resilient to forgery).
- We will not prove this claim.
- But, CBC-MAC is insecure if variable length messages are allowed

## Security of CBC-MAC

- Insecurity of CBC-MAC when applied to messages of variable length:
  - Get  $C_1$  = CBC-MAC<sub>K</sub>( $M_1$ ) =  $E_K$ (0 ⊕  $M_1$ )
  - Ask for MAC of  $C_1$ , i.e.,  $C_2 = CBC-MAC_k(C_1) = E_k(0 \oplus C_1)$
  - But,  $E_{\kappa}(C_1 \oplus 0) = E_{\kappa}(E_{\kappa}(0 \oplus M_1) \oplus 0) = CBC-MAC_{\kappa}(M_1 \mid 0)$ 
    - Can you show, for every n, a collision between two messages of lengths 1 and n+1?
    - It's known that CBC-MAC is secure if message space is prefix-free.



### CBC-MAC for variable length messages

- Solution 1: The first block of the message is set to be its length. I.e., to authenticate M<sub>1</sub>,...,M<sub>n</sub>, apply CBC-MAC to (n,M<sub>1</sub>,...,M<sub>n</sub>).
  - Works since now message space is prefix-free.
  - Drawback: The message length (n) must be known in advance.
- "Solution 2": apply CBC-MAC to (M<sub>1</sub>,...,M<sub>n</sub>,n)
  - Message length does not have to be known is advance
  - But, this scheme is broken (see, M. Bellare, J. Kilian, P. Rogaway, The Security of Cipher Block Chaining, 1984)
- Solution 3: (preferable)
  - Use a second key K'.
  - Compute  $MAC_{K,K'}(M_1,...,M_n) = E_{K'}(MAC_K(M_1,...,M_n))$
  - Essentially the same overhead as CBC-MAC

#### Hash functions

- MACs can be constructed based on hash functions.
- A hash function h:X → Y maps long inputs to fixed size outputs. (|X|>|Y|)
- No secret key. The hash function algorithm is public.
- If |X|>|Y| there are collisions (x≠x' for which h(x)=h(x')), but it might be hard to find them.

### Security definitions for hash functions

- 1. Weak collision resistance: for any  $x \in X$ , it is hard to find  $x' \neq x$  such that h(x) = h(x'). (Also known as "universal one-way hash", or "second preimage resistance").
  - In other words, there is no efficient algorithm which given x can find an x' such that h(x)=h(x').
- 2. Strong collision resistance: it is hard to find any x,x' for which h(x)=h(x').
  - In other words, there is no efficient algorithm which can find a pair x,x' such that h(x)=h(x').

### Security definitions for hash functions

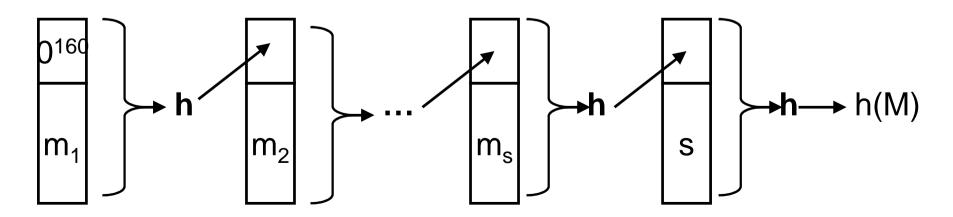
- It is easier to find collisions.
  - In other words, under reasonable assumptions it holds that if it is possible to achieve security according to definition
    (2) then it is also possible to achieve security according to definition(1).
- Therefore strong collision resistance is a stronger assumption.
- Real world hash functions: MD5, SHA-1, SHA-256.
  - Output length is at least 160 bits.

## The Birthday Phenomenon (Paradox)

- For 23 people chosen at random, the probability that two of them have the same birthday is about ½.
- Compare to: The probability that one or more of them has the same birthday as Alan Turing is 23/365 (actually, 1-(1-1/365)<sup>23</sup>.)
- More generally, for a random h:X  $\rightarrow$  Z, if we choose about  $|Z|^{\frac{1}{2}}$  elements of X at random (1.17  $|Z|^{\frac{1}{2}}$ ), the probability that two of them are mapped to the same image is >  $\frac{1}{2}$ .
- Implication: it's harder to achieve strong collision resistance
  - A random function with an n bit output
    - Can find x,x' with h(x)=h(x') after about  $2^{n/2}$  tries.
    - Can find  $x\neq 0$  s.t. h(x)=h(0) after about  $2^n$  attempts.

# From collision-resistance for fixed length inputs, to collision-resistance for arbitrary input lengths

- Hash function:
  - Input block length is usually 512 bits (|X|=512)
  - Output length is at least 160 bits (birthday attacks)
- Extending the domain to arbitrary inputs (Damgard-Merkle)
  - Suppose h: $\{0,1\}^{512}$  ->  $\{0,1\}^{160}$
  - Input:  $M=m_1...m_s$ ,  $|m_i|=512-160=352$ . (what if  $|M|\neq352$ ·i bits?)
  - Define:  $y_0=0^{160}$ .  $y_i=h(y_{i-1},m_i)$ .  $y_{s+1}=h(y_s,s)$ .  $h(M)=y_{s+1}$ .
  - Why is it secure? What about different length inputs?



#### **Proof**

- Show that if we can find M≠M' for which H(M)=H(M'), we can find blocks m ≠ m' for which h(m)=h(m').
- Case 1: suppose |M|=s, |M'|=s', and s ≠ s'
  - Then, collision:  $H(M)=h(y_s,s)=h(y_s,s')=H(M')$
- Case 2: |M|=|M'|=s
  - We know that  $H(M)=h(y_s,s)=h(y_s,s)=H(M')$
  - If  $y_s \neq y'_s$  then we found a collision in h.
  - Otherwise, go from i=s-1 to i=1:
    - $y_{i+1} = y'_{i+1}$  implies  $h(y_i, m_{i+1}) = h(y'_i, m'_{i+1})$ .
    - If  $y_i \neq y'_i$  or  $m_{i+1} \neq m'_{i+1}$ , then we found a collision.
    - M ≠ M' and therefore there is an i for which m<sub>i+1</sub> ≠ m'<sub>i+1</sub>

### The implication of collisions

- Given a hash function with 2<sup>n</sup> possible outputs.
  Collisions can be found
  - after a search of 2<sup>n/2</sup> values
  - even faster if the function is weak (MD5, SHA-1)
- We can find x, x' such that h(x)=h(x'), but we cannot control the value of x, x'.
- Can we find "meaningful" colliding values x, x'?
  - The case of pdf/postscript files...

### Basing MACs on Hash Functions

- Hash functions are not keyed. MAC<sub>k</sub> uses a key.
- Best attack should not succeed with prob > max(2<sup>-|k|</sup>,2<sup>-|MAC()|</sup>).
- Idea: MAC combines message and a secret key, and hashes them with a collision resistant hash function.
  - E.g.  $MAC_K(m) = h(k,m)$ . (insecure.., given  $MAC_K(m)$  can compute  $MAC_K(m,|m|,m')$ , if using the MD construction)
  - $MAC_K(m) = h(m,k)$ . (insecure..., regardless of key length, use a birthday attack to find m,m' such that h(m)=h(m').)
- How should security be proved?:
  - Show that if MAC is insecure then so is hash function h.
  - Insecurity of MAC: adversary can generate MAC<sub>K</sub>(m) without knowing k.
  - Insecurity of h: adversary finds collisions (x≠x', h(x)=h(x').)

#### **HMAC**

- Input: message m, a key K, and a hash function h.
- HMAC<sub>K</sub>(m) = h( K  $\oplus$  opad, h(K  $\oplus$  ipad, m))
  - where ipad, opad are 64 byte long fixed strings
  - K is 64 byte long (if shorter, append 0s to get 64 bytes).
- Overhead: the same as that of applying h to m, plus an additional invocation to a short string.
- It was proven [BCK] that if HMAC is broken then either
  - h is not collision resistant (even when the initial block is random and secret), or
  - The output of h is not "unpredcitable" (when the initial block is random and secret)
- HMAC is used everywhere (SSL, IPSec).