## Introduction to Cryptography

## Lecture 1

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## Administrative Details

- Grade
- If we have a homework grader
- Exam 70\%, homework 30\%
- Otherwise: Exam 100\%
- Email: benny@pinkas.net
- Goal: Learn the basics of modern cryptography
- Method: introductory, applied, precise.


## Bibliography

- Textbooks:
- Introduction to Modern Cryptography, by J. Katz and Y. Lindell.
- Cryptography Theory and Practice, Second (or third) edition by D. Stinson. (Also, מדריך למידה בעברית של (האוניברסיטה הפתוחה!


## Bibliography

- Optional reading:
- Handbook of Applied Cryptography, by A. Menezes, P. Van Oorschot, S. Vanstone. (Free!)
- Introduction to Cryptography Applied to Secure Communication and Commerce, by Amir Herzberg. (Free!)
- Applied Cryptography, by B. Schneier.


## Probability Theory

- One of the perquisites of this course is the course "Introduction to probability"
- If you haven't taken that course, it is your responsibility to learn the relevant material.
- You can read Luca Trevisan's notes on discrete probability, available at http://www.cs.berkeley.edu/~luca/crypto-class99/handouts/notesprob.ps
- Afterwards, you can also read the part on probability in Chapter 2 of the Handbook of Applied Cryptography, which is available at
http://www.cacr.math.uwaterloo.ca/hac/about/chap2.pdf


## Course Outline

- Course Outline
- Data secrecy: encryption
- Symmetric encryption
- Asymmetric (public key) encryption
- Data Integrity: authentication, digital signatures.
- Required background in number theory
- Cryptographic protocols


## Encryption


-Two parties: Alice and Bob
-Reliable communication link
-Goal: send a message $m$ while hiding it from Eve (as if they were both in the same room)
-Examples: military communication, Internet transactions, HD encryption.

## Secret key



- Alice must have some secret information that Eve does not know. Otherwise...
- In symmetric encryption, Alice and Bob share a secret key k, which they use for encrypting and decrypting the message.


## Authentication / Signatures


-Goal:
-Enable Bob to verify that Eve did not change messages sent by Alice
-Enable Bob to prove to others the origin of messages sent by Alice

- (We'll discuss these issues in later classes)


## Encryption

- Message space $\{m\} \quad$ (e.g. $\{0,1\}^{n}$ )
- Key generation algorithm
- Encryption key $k_{1}$, decryption key $k_{2}$
- Encryption function $E$
- Decryption function $D$

- For every message $m$
- $D_{k 2}\left(E_{k 1}(m)\right)=m$
- l.e., the decryption of the encryption of $m$ is $m$
- Symmetric encryption $k=k_{1}=k_{2}$


## Security Goals

(1) No adversary can determine $m$
or, even better,
(2) No adversary can determine any information about $m$

- Suppose $m=$ "attack on Sunday, at 17:15".
- The adversary can at most learn that
- $\mathrm{m}=$ "attack on $\mathrm{S}^{* *}$ day, $\mathrm{a}^{*}$ 17:**"
- $\mathrm{m}=$ "****** ** *u******* *****"
- Here, goal (1) is satisfied, but not goal (2)
- We will discuss this in more detail...


## Adversarial Model

- To be on the safe side, assume that adversary knows the encryption and decryption algorithms $E$ and $D$, and the message space.
- Kerckhoff's Principle (1883):



## Adversarial Model

- To be on the safe side, assume that adversary knows the encryption and decryption algorithms $E$ and $D$, and the message space.
- Kerckhoff's Principle (1883):
- The only thing Eve does not know is the secret key $k$
- The design of the cryptosystem is public
- This is convenient
- Only a short key must be kept secret.
- If the key is revealed, replacing it is easier than replacing the entire cryptosystem.
- Supports standards: the standard describes the cryptosystem and any vendor can write its own implementation (e.g., SSL)


## Adversarial Model

- Keeping the design public is also crucial for security
- Allows public scrutiny of the design (Linus' law: "given enough eyeballs, all bugs are shallow")
- The cryptosystem can be examined by "ethical hackers"
- Being able to reuse the same cryptosystem in different applications enables to spend more time on investigating its security
- No need to take extra measures to prevent reverse engineering
- Focus on securing the key
- Examples
- Security through obscurity, Intel's HDCP, GSM A5/1. :
- DES, AES, SSL ©


## Adversarial Power

- What does the adversary know or seen before?
- Types of attacks:
- Ciphertext only attack - ciphertext known to the adversary (eavesdropping)
- Known plaintext attack - plaintext and ciphertext are known to the adversary
- Chosen plaintext attack - the adversary can choose the plaintext and obtain its encryption (e.g. he has access to the encryption system)
- Chosen ciphertext attack - the adversary can choose the ciphertext and obtain its decryption


## Adversarial Power

-What is the computational power of the adversary?

- Polynomial time?
- Unbounded computational power?
- We might assume restrictions on the adversary's capabilities, but we cannot assume that it is using specific attacks or strategies.


## Breaking the Enigma

- German cipher in WW II
- Kerckhoff's principle
- Known plaintext attack
- (somewhat) chosen plaintext attack



## Caesar Cipher

- A shift cipher
- Plaintext: "ATTACK AT DAWN"
- Ciphertext: "DWWDFN DW GDZQ"
- Key: $k \in_{R}\{0,25\}$. (In this example $k=3$ )
- More formally:
- Key: $k \in_{\mathrm{R}}\{0 \ldots 25\}$, chosen at random.
- Message space: English text (i.e., $\{0 . . .25\}^{|m|}$ )
- Algorithm: ciphertext letter = plaintext letter + $k$ mod 26
- Follows Kerckhoff's principle
- But not a good cipher
- A similar "cipher": ROT-13


## Brute Force Attacks

- Brute force attack: adversary tests all possible keys and checks which key decrypts the message
- Note that this assumes we can identify the correct plaintext among all plaintexts generated by the attack
- Caesar cipher: |key space| = 26
- We need a larger key space
- Usually, the key is a bit string chosen uniformly at random from $\{0,1\}^{|k|}$. Implying $2^{|k|}$ equiprobable keys.
- How long should $k$ be?
- The adversary should not be able to do $2^{|k|}$ decryption trials


## Adversary's computation power

- Theoretically
- Adversary can perform poly(/k/) computation
- Key space $=2^{|k|}$
- Practically
- $|k|=64$ is too short for a key length
- $|\mathrm{k}|=80$ starts to be reasonable
- Why? (what can be done by 1000 computers in a year?)
- $2^{55}=2^{20}$ (ops per second)
- $\quad \times 2^{20}$ (seconds in two weeks)
- $\times 2^{5}$ ( $\approx$ fortnights in a year) (might invest more than a year..)
- $\quad \times 2^{10}$ (computers in parallel)
- All this, assuming that the adversary cannot do better than a brute force attack


## How much computation is feasible?

- Many speculations and extrapolations on available computing power.
- NIST SP 800-57 allows 80 bit keys until 2010.
- ECRYPT document (2006-2009):

| budget | hardware | minimal key length <br> secure against attack |  |
| :---: | :---: | :---: | :---: |
| hacker | $\$ 400$ | PC/FPGA | $\sim 60$ |
| small org | $\$ 10 \mathrm{~K}$ | PC/FPGA | 64 |
| medium org | $\$ 300 K$ | FPGA/ASIC | 68 |
| large org | $\$ 10 M$ | FPGA/ASIC | 78 |
| government | $\$ 300 M$ | ASIC | 84 |

## Monoalphabetic Substitution cipher

| A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| X | Y | Z |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Y | A | H | P | O | G | Z | Q | W | B | T | S | F | L | R | C | V | M | U | E | K | J | D |
| I | X | N |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

- Plaintext: "ATTACK AT DAWN"
- Ciphertext: "YEEYHT YE PYDL"
- More formally:
- Plaintext space $=$ ciphertext space $=\{0 . .25\}^{\mathrm{mm}}$
- Key space $=1$-to- 1 mappings of $\{0 . .25\}$ (i.e., permutations)
- Encryption: map each letter according to the key
- Key space $=26!\approx 4 \times 10^{28} \approx 2^{95}$. (Large enough.)
- Still easy to break


## Breaking the substitution cipher

- The plaintext has a lot of structure
- Known letter distribution in English (e.g. $\operatorname{Pr}($ "e") $=13 \%$ ).
- Known distribution of pairs of letters ("th" vs. "jj")

- We can also use the fact that the mapping of plaintext letters to ciphertext letters is fixed


## Cryptanalysis of a substitution cipher

- QEFP FP QEB CFOPQ QBUQ
- QEFP FP QEB CFOPQ QBUQ
-TH TH T T T
- THFP FP THB CFOPT TBUT
- THIS IS TH I ST T T
- THIS IS THB CIOST TBUT
- THIS IS THE I ST TE T
-THIS IS THE FIRST TEXT


## The Vigenere cipher

- Plaintext space $=$ ciphertext space $=\{0 . .25\} / \mathrm{ml}$
- Key space = strings of |k| letters $\{0 . .25\}^{K / K}$
- Generate a pad by repeating the key until it is as long as the plaintext (e.g., "SECRETSECRETSEC..")
- Encryption algorithm: add the corresponding characters of the pad and the plaintext
- THIS IS THE PLAINTEXT TO BE ENCRYPTED
- SECR ET SEC RETSECRET SE CR ETSECRETSE
- $\mid$ Key space $=26^{|k|} . \quad\left(\mathrm{k}=17\right.$ implies $\mid$ key space $\left.\mid \approx 2^{80}\right)$
- Each plaintext letter is mapped to $\mid k /$ different letters


## Attacking the Vigenere cipher

- Known plaintext attack (or rather, known plaintext distribution)
- Guess the key length $/ k /$
- Examine every $/ k /$ th letter, this is a shift cipher
- THIS IS THE PLAINTEXT TO BE ENCRYPTED
- SECR ET SEC RETSECRET SE CR ETSECRETS
- Attack time: $(|k-1|+|k|) \times$ time of attacking a shift cipher ${ }^{(1)}$
- Chosen plaintext attack:
- Use the plaintext "aaaaaaa..."
(1) How?
- $\quad|k-1|$ failed tests for key lengths $1, \ldots,|k-1| .|k|$ tests covering all |k| letters of the key.
- Attacking the shift cipher: Assume known letter frequency (no known plaintext). Can check the difference of resulting histogram from the English letters histogram.


## Perfect Cipher

- What type of security would we like to achieve?
- In an "ideal" world, the message will be delivered in a magical way, out of the reach of the adversary
- We would like to achieve similar security
- "Given the ciphertext, the adversary has no idea what the plaintext is"
- Impossible since the adversary might have a-priori information
- Definition: a perfect cipher
- The ciphertext does not add information about the plaintext
- $\operatorname{Pr}($ plaintext $=P /$ ciphertext $=C)=\operatorname{Pr}($ plaintext $=P)$


## Probability distributions

- $\operatorname{Pr}($ plaintext $=P /$ ciphertext $=C)$
- Probability is taken over the choices of the key, the plaintext, and the ciphertext.
- Key: Its probability distribution is usually uniform (all keys have the same probability of being chosen).
- Plaintext: has an arbitrary distribution
- Not necessarily uniform ( $\operatorname{Pr}\left({ }^{\prime}{ }^{\prime} e^{\prime \prime}\right)>\operatorname{Pr}\left({ }^{(j y}\right.$ ") $)$.
- Ciphertext: Its distribution is determined given the cryptosystem and the distributions of key and plaintext.
- A simplifying assumption: All plaintext and ciphertext values have positive probability.


## Perfect Cipher

- For a perfect cipher, it holds that given ciphertext $C$,
- $\operatorname{Pr}($ plaintext $=P / C)=\operatorname{Pr}($ plaintext $=P)$
- i.e., knowledge of ciphertext does not change the a-priori distribution of the plaintext
- Probabilities taken over key space and plaintext space
- Does this hold for monoalphabetic substitution?


## Perfect Cipher

- Perfect secrecy is a property (which we would like cryptosystems to have)
- We will now show a specific cryptosystem that has this property
- One Time Pad (Vernam cipher): (for a one bit plaintext)
- Plaintext $p \in\{0,1\}$
- $\operatorname{Key} k \in_{R}\{0,1\} \quad$ (i.e. $\left.\operatorname{Pr}(k=0)=\operatorname{Pr}(k=1)=1 / 2\right)$
- Ciphertext $=p \oplus k$
- Is this a perfect cipher? What happens if we know a-priori that $\operatorname{Pr}($ plaintext $=1)=0.8$ ?


## The one-time-pad is a perfect cipher

$$
\text { ciphertext = plaintext } \oplus \mathrm{k}
$$

Lemma: $\operatorname{Pr}($ ciphertext $=0)=\operatorname{Pr}($ ciphertext $=1)=1 / 2$ (regardless of the distribution of the plaintext)

```
    \(\operatorname{Pr}(\) ciphertext \(=0)\)
\(=\operatorname{Pr}(\) plaintext \(\oplus\) key \(=0)\)
\(=\operatorname{Pr}\) (key = plaintext )
\(=\operatorname{Pr}(\) key \(=0) \cdot \operatorname{Pr}(\) plaintext \(=0)+\operatorname{Pr}(\) key \(=1) \cdot \operatorname{Pr}(\) plaintext \(=1)\)
\(=1 / 2 \cdot \operatorname{Pr}(\) plaintext \(=0)+1 / 2 \cdot \operatorname{Pr}(\) plaintext \(=1)\)
\(=1 / 2 \cdot(\operatorname{Pr}(\) plaintext \(=0)+\operatorname{Pr}(\) plaintext \(=1))=1 / 2\)
```


## The one-time-pad is a perfect cipher

ciphertext $=$ plaintext $\oplus \mathrm{k}$
$\operatorname{Pr}($ plaintext $=1 \mid$ ciphertext $=1)$
$=\operatorname{Pr}($ plaintext $=1 \&$ ciphertext $=1) / \operatorname{Pr}($ ciphertext $=1)$
$=\operatorname{Pr}($ plaintext $=1 \&$ ciphertext $=1) / 1 / 2$
$=\operatorname{Pr}($ ciphertext $=1 \mid$ plaintext $=1) \cdot \operatorname{Pr}($ plaintext $=1) / 1 / 2$
$=\operatorname{Pr}($ key $=0) \cdot \operatorname{Pr}($ plaintext $=1) / 1 / 2$
$=1 / 2 \cdot \operatorname{Pr}($ plaintext $=1) / 1 / 2$
$=\operatorname{Pr}($ plaintext $=1)$
The perfect security property holds

## One-time-pad (OTP) - the general case

- Plaintext $=p_{1} p_{2} \ldots p_{m} \in \Sigma^{m}$ (e.g. $\Sigma=\{0,1\}$, or $\left.\Sigma=\{A \ldots Z\}\right)$
- key $=k_{1} k_{2} \ldots k_{m} \in_{R} \Sigma^{m}$
- Ciphertext $=c_{1} c_{2} \ldots c_{m}, \quad c_{i}=p_{i}+k_{i} \bmod |\Sigma|$
- Essentially a shift cipher with a different key for every character, or a Vigenere cipher with $|\mathrm{k}|=|\mathrm{P}|$
- Shannon [47,49]:
- An OTP is a perfect cipher, unconditionally secure. ©
- As long as the key is a random string, of the same length as the plaintext. :
- Cannot use
- Shorter key (e.g., Vigenere cipher)
- A key which is not chosen uniformly at random


## Size of key space

- Theorem: For a perfect encryption scheme, the number of keys is at least the size of the message space (number of messages that have a non-zero probability).
- Proof:
- Consider ciphertext C.
- C must be a possible encryption of any plaintext m .
- But, for this we need a different key per message m.
- Corollary: Key length of one-time pad is optimal $(:$


## Perfect Ciphers

- A simple criteria for perfect ciphers.
- Claim: The cipher is perfect if, and only if, $\forall \mathrm{m}_{1}, \mathrm{~m}_{2} \in \mathrm{M}, \forall$ cipher c ,

$$
\operatorname{Pr}\left(\operatorname{Enc}\left(m_{1}\right)=c\right)=\operatorname{Pr}\left(\operatorname{Enc}\left(m_{2}\right)=c\right) . \quad \text { (homework??) }
$$

- Idea: Regardless of the plaintext, the adversary sees the same distribution of ciphertexts.
- Note that the proof cannot assume that the cipher is the one-time-pad, but rather only that $\operatorname{Pr}($ plaintext $=P /$ ciphertext $=C)=\operatorname{Pr}($ plaintext $=P)$


## What we've learned today

- Introduction
- Kerckhoff's Principle
- Some classic ciphers
- Brute force attacks
- Required key length
- A large key does no guarantee security
- Perfect ciphers

