# Introduction to Cryptography

Lecture 1

Benny Pinkas

November 4, 2008

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#### **Administrative Details**

- Grade
  - If we have a homework grader
    - Exam 70%, homework 30%
  - Otherwise: Exam 100%
- Email: <u>benny@pinkas.net</u>
- Goal: Learn the basics of modern cryptography
- Method: introductory, applied, precise.

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# Bibliography

- Textbooks:
  - Introduction to Modern Cryptography, by J. Katz and Y. Lindell.
  - Cryptography Theory and Practice, Second (or third)
     edition by D. Stinson. (Also, של בעברית של למידה בעברית של )
     !האוניברסיטה הפתוחה

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# Bibliography

- Optional reading:
  - Handbook of Applied Cryptography, by A. Menezes, P. Van Oorschot, S. Vanstone. (Free!)
  - Introduction to Cryptography Applied to Secure Communication and Commerce, by Amir Herzberg. (Free!)
  - Applied Cryptography, by B. Schneier.

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# **Probability Theory**

- One of the perquisites of this course is the course "Introduction to probability"
  - If you haven't taken that course, it is your responsibility to learn the relevant material.
  - You can read Luca Trevisan's notes on discrete probability, available at <a href="http://www.cs.berkeley.edu/~luca/crypto-class-99/handouts/notesprob.ps">http://www.cs.berkeley.edu/~luca/crypto-class-99/handouts/notesprob.ps</a>
  - Afterwards, you can also read the part on probability in Chapter 2 of the Handbook of Applied Cryptography, which is available at <a href="http://www.cacr.math.uwaterloo.ca/hac/about/chap2.pdf">http://www.cacr.math.uwaterloo.ca/hac/about/chap2.pdf</a>

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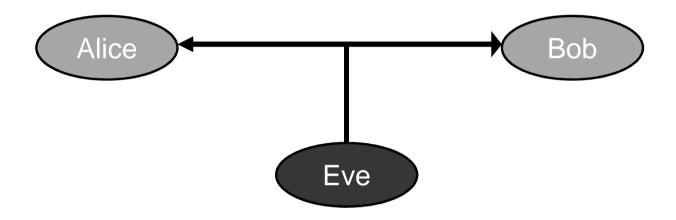
#### Course Outline

- Course Outline
  - Data secrecy: encryption
    - Symmetric encryption
    - Asymmetric (public key) encryption
  - Data Integrity: authentication, digital signatures.
  - Required background in number theory
  - Cryptographic protocols

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# **Encryption**

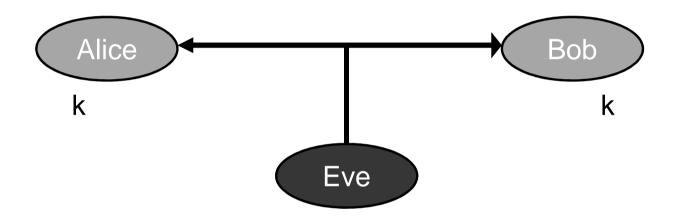


- •Two parties: Alice and Bob
- •Reliable communication link
- •Goal: send a message m while hiding it from Eve (as if they were both in the same room)
- •Examples: military communication, Internet transactions, HD encryption.

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## Secret key

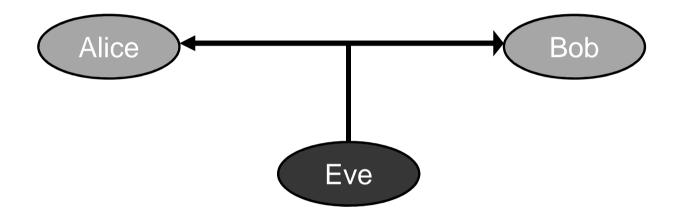


- Alice must have some secret information that Eve does not know. Otherwise...
- In symmetric encryption, Alice and Bob share a secret key k, which they use for encrypting and decrypting the message.

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# Authentication / Signatures



- •Goal:
  - •Enable Bob to verify that Eve did not change messages sent by Alice
  - •Enable Bob to prove to others the origin of messages sent by Alice
- (We'll discuss these issues in later classes)

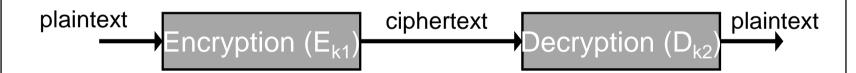
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# Encryption

- Message space {*m*} (e.g. {0,1}<sup>n</sup>)
- Key generation algorithm
- Encryption key k<sub>1</sub>, decryption key k<sub>2</sub>
- Encryption function E
- Decryption function D

Define the encryption system



- For every message m
  - $-D_{k2}(E_{k1}(m)) = m$
  - I.e., the decryption of the encryption of *m* is *m*
- Symmetric encryption  $k = k_1 = k_2$

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# **Security Goals**

- (1) No adversary can determine *m* or, even better,
- (2) No adversary can determine any information about *m*
- Suppose m = "attack on Sunday, at 17:15".
- The adversary can at most learn that
  - m = "attack on S\*\*day, a\* 17:\*\*"
  - m = "\*\*\*\*\*\* \*\* \*U\*\*\*\*\*\* \*\* \*\*\*\*\*\*
- Here, goal (1) is satisfied, but not goal (2)
- We will discuss this in more detail...

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#### **Adversarial Model**

- To be on the safe side, assume that adversary knows the encryption and decryption algorithms *E* and *D*, and the *message space*.
- Kerckhoff's Principle (1883):



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#### **Adversarial Model**

- To be on the safe side, assume that adversary knows the encryption and decryption algorithms *E* and *D*, and the *message space*.
- Kerckhoff's Principle (1883):
  - The only thing Eve does not know is the secret key k
  - The design of the cryptosystem is public
  - This is convenient
    - Only a short key must be kept secret.
    - If the key is revealed, replacing it is easier than replacing the entire cryptosystem.
    - Supports standards: the standard describes the cryptosystem and any vendor can write its own implementation (e.g., SSL)

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#### **Adversarial Model**

- Keeping the design public is also crucial for security
  - Allows public scrutiny of the design (Linus' law: "given enough eyeballs, all bugs are shallow")
  - The cryptosystem can be examined by "ethical hackers"
  - Being able to reuse the same cryptosystem in different applications enables to spend more time on investigating its security
  - No need to take extra measures to prevent reverse engineering
  - Focus on securing the key
- Examples
  - Security through obscurity, Intel's HDCP, GSM A5/1. ☺
  - DES, AES, SSL ☺

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#### **Adversarial Power**

- What does the adversary know or seen before?
- Types of attacks:
  - Ciphertext only attack ciphertext known to the adversary (eavesdropping)
  - Known plaintext attack plaintext and ciphertext are known to the adversary
  - Chosen plaintext attack the adversary can choose the plaintext and obtain its encryption (e.g. he has access to the encryption system)
  - Chosen ciphertext attack the adversary can choose the ciphertext and obtain its decryption

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#### **Adversarial Power**

- What is the computational power of the adversary?
  - Polynomial time?
  - Unbounded computational power?

 We might assume restrictions on the adversary's capabilities, but we cannot assume that it is using specific attacks or strategies.

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# Breaking the Enigma

- German cipher in WW II
- Kerckhoff's principle
- Known plaintext attack
- (somewhat) chosen plaintext attack



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### Caesar Cipher

- A shift cipher
- Plaintext: "ATTACK AT DAWN"
- Ciphertext: "DWWDFN DW GDZQ"
- Key:  $k \in \mathbb{R} \{0,25\}$ . (In this example k=3)
- More formally:
  - Key:  $k \in \mathbb{R} \{0...25\}$ , chosen at random.
  - Message space: English text (i.e.,  $\{0...25\}^{|m|}$ )
  - Algorithm: ciphertext letter = plaintext letter + k mod 26
- Follows Kerckhoff's principle
  - But not a good cipher
- A similar "cipher": ROT-13

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#### **Brute Force Attacks**

- Brute force attack: adversary tests all possible keys and checks which key decrypts the message
  - Note that this assumes we can identify the correct plaintext among all plaintexts generated by the attack
- Caesar cipher: |key space| = 26
- We need a larger key space
- Usually, the key is a bit string chosen uniformly at random from  $\{0,1\}^{|k|}$ . Implying  $2^{|k|}$  equiprobable keys.
- How long should k be?
- The adversary should not be able to do 2<sup>|k|</sup> decryption trials

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### Adversary's computation power

- Theoretically
  - Adversary can perform poly(/k/) computation
  - Key space =  $2^{|k|}$
- Practically
  - $-|\mathbf{k}| = 64$  is too short for a key length
  - $|\mathbf{k}| = 80$  starts to be reasonable
  - Why? (what can be done by 1000 computers in a year?)
    - $2^{55} = 2^{20}$  (ops per second)
    - x 2<sup>20</sup> (seconds in two weeks)
    - $x 2^5$  (  $\approx$  fortnights in a year) (might invest more than a year..)
    - x 2<sup>10</sup> (computers in parallel)
- All this, assuming that the adversary cannot do better than a brute force attack

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### How much computation is feasible?

- Many speculations and extrapolations on available computing power.
- NIST SP 800-57 allows 80 bit keys until 2010.
- ECRYPT document (2006-2009):

תוקף	budget	hardware	minimal key length secure against attack
hacker	\$400	PC/FPGA	~60
small org	\$10K	PC/FPGA	64
medium org	\$300K	FPGA/ASIC	68
large org	\$10M	FPGA/ASIC	78
government	\$300M	ASIC	84

### Monoalphabetic Substitution cipher

Α	В	С	D	E	F	G	Н		J	K	L	M	N	0	Р	Q	R	S	Т	U	V	W	X	Y	Z
Y	Α	I	Ρ	O	G	Z	Q	W	В	Τ	S	F	L	R	C	<b>V</b>	M	J	Ш	K	J	D		X	N

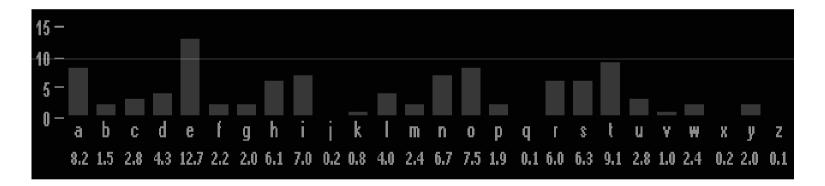
- Plaintext: "ATTACK AT DAWN"
- Ciphertext: "YEEYHT YE PYDL"
- More formally:
  - Plaintext space = ciphertext space = {0..25} |m|
  - Key space = 1-to-1 mappings of {0..25} (i.e., permutations)
  - Encryption: map each letter according to the key
- Key space =  $26! \approx 4 \times 10^{28} \approx 2^{95}$ . (Large enough.)
- Still easy to break

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## Breaking the substitution cipher

- The plaintext has a lot of structure
  - Known letter distribution in English (e.g. Pr("e") = 13%).
  - Known distribution of pairs of letters ("th" vs. "jj")



 We can also use the fact that the mapping of plaintext letters to ciphertext letters is fixed

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# Cryptanalysis of a substitution cipher

- QEFP FP QEB CFOPQ QBUQ
- QEFP FP QEB CFOPQ QBUQ
- TH TH T T
- THFP FP THB CFOPT TBUT
- THIS IS TH I ST T T
- THIS IS THE CLOST TRUT
- THIS IS THE I ST TE T
- THIS IS THE FIRST TEXT

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## The Vigenere cipher

- Plaintext space = ciphertext space = {0..25} |m|
- Key space = strings of |k| letters {0..25}|K|
- Generate a pad by repeating the key until it is as long as the plaintext (e.g., "SECRETSECRETSEC..")
- Encryption algorithm: add the corresponding characters of the pad and the plaintext
  - THIS IS THE PLAINTEXT TO BE ENCRYPTED
  - SECR ET SEC RETSECRET SE CR ETSECRETSE
- |Key space| =  $26^{|k|}$ . (k=17 implies |key space|  $\approx 2^{80}$ )
- Each plaintext letter is mapped to |k| different letters

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### Attacking the Vigenere cipher

- Known plaintext attack (or rather, known plaintext distribution)
  - Guess the key length |k|
  - Examine every |k|'th letter, this is a shift cipher
    - THIS IS THE PLAINTEXT TO BE ENCRYPTED
    - <u>SECR ET SEC RETSECRET SE CR ETSECRETS</u>
  - Attack time:  $(|k-1| + |k|) \times time of attacking a shift cipher^{(1)}$
- Chosen plaintext attack:
  - Use the plaintext "aaaaaaaa..."
  - (1) How?
  - |k-1| failed tests for key lengths 1,...,|k-1|. |k| tests covering all |k| letters of the key.
  - Attacking the shift cipher: Assume known letter frequency (no known plaintext). Can check the difference of resulting histogram from the English letters histogram.

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### Perfect Cipher

- What type of security would we like to achieve?
- In an "ideal" world, the message will be delivered in a magical way, out of the reach of the adversary
  - We would like to achieve similar security
- "Given the ciphertext, the adversary has no idea what the plaintext is"
  - Impossible since the adversary might have a-priori information
- Definition: a perfect cipher
  - The ciphertext does not add information about the plaintext
  - $Pr(plaintext = P \mid ciphertext = C) = Pr(plaintext = P)$

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### Probability distributions

- Pr( plaintext = P | ciphertext = C )
- Probability is taken over the choices of the key, the plaintext, and the ciphertext.
  - Key: Its probability distribution is usually uniform (all keys have the same probability of being chosen).
  - Plaintext: has an arbitrary distribution
    - Not necessarily uniform (*Pr("e")* > *Pr("j")*).
  - Ciphertext: Its distribution is determined given the cryptosystem and the distributions of key and plaintext.
- A simplifying assumption: All plaintext and ciphertext values have positive probability.

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## Perfect Cipher

- For a perfect cipher, it holds that given ciphertext C,
  - $Pr(plaintext = P \mid C) = Pr(plaintext = P)$
  - i.e., knowledge of ciphertext does not change the a-priori distribution of the plaintext
  - Probabilities taken over key space and plaintext space
  - Does this hold for monoalphabetic substitution?

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## Perfect Cipher

- Perfect secrecy is a property (which we would like cryptosystems to have)
- We will now show a specific cryptosystem that has this property
- One Time Pad (Vernam cipher): (for a one bit plaintext)
  - Plaintext  $p \in \{0,1\}$
  - Key  $k \in \{0,1\}$  (i.e.  $Pr(k=0) = Pr(k=1) = \frac{1}{2}$ )
  - Ciphertext =  $p \oplus k$
  - Is this a perfect cipher? What happens if we know a-priori that Pr(plaintext=1)=0.8?

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### The one-time-pad is a perfect cipher

```
ciphertext = plaintext ⊕ k
```

Lemma:  $Pr(ciphertext = 0) = Pr(ciphertext = 1) = \frac{1}{2}$  (regardless of the distribution of the plaintext)

```
Pr(ciphertext = 0)
```

- = Pr ( $plaintext \oplus key = 0$ )
- = Pr (key = plaintext )
- =  $Pr(key=0) \cdot Pr(plaintext=0) + Pr(key=1) \cdot Pr(plaintext=1)$
- =  $\frac{1}{2}$  · Pr(plaintext=0) +  $\frac{1}{2}$  · Pr(plaintext=1)
- =  $\frac{1}{2}$  · ( Pr(plaintext=0) + Pr(plaintext=1) ) =  $\frac{1}{2}$

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### The one-time-pad is a perfect cipher

```
ciphertext = plaintext ⊕ k
```

```
Pr(plaintext = 1 \mid ciphertext = 1)
```

- = Pr(plaintext = 1 & ciphertext = 1) / Pr(ciphertext = 1)
- $= Pr(plaintext = 1 \& ciphertext = 1) / \frac{1}{2}$
- =  $Pr(ciphertext = 1 | plaintext = 1) \cdot Pr(plaintext = 1) / \frac{1}{2}$
- $= Pr(key = 0) \cdot Pr(plaintext = 1) / \frac{1}{2}$
- $= \frac{1}{2} \cdot Pr(plaintext = 1) / \frac{1}{2}$
- = Pr(plaintext = 1)

The perfect security property holds

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## One-time-pad (OTP) - the general case

- Plaintext =  $p_1p_2...p_m \in \Sigma^m$  (e.g.  $\Sigma = \{0,1\}$ , or  $\Sigma = \{A...Z\}$ )
- $key = k_1 k_2 ... k_m \in_R \Sigma^m$
- Ciphertext =  $c_1c_2...c_m$ ,  $c_i = p_i + k_i \mod |\Sigma|$
- Essentially a shift cipher with a different key for every character, or a Vigenere cipher with |k|=|P|
- Shannon [47,49]:
  - An OTP is a perfect cipher, unconditionally secure.
  - As long as the key is a random string, of the same length as the plaintext.
  - Cannot use
    - Shorter key (e.g., Vigenere cipher)
    - A key which is not chosen uniformly at random

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# Size of key space

 Theorem: For a perfect encryption scheme, the number of keys is at least the size of the message space (number of messages that have a non-zero probability).

- Proof:
  - Consider ciphertext C.
  - C must be a possible encryption of any plaintext m.
  - But, for this we need a different key per message m.
- Corollary: Key length of one-time pad is optimal ⊗

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### **Perfect Ciphers**

- A simple criteria for perfect ciphers.
- Claim: The cipher is perfect if, and only if,

```
\forall m_1, m_2 \in M, \forall cipher c,

Pr(Enc(m_1)=c) = Pr(Enc(m_2)=c). (homework??)
```

- Idea: Regardless of the plaintext, the adversary sees the same distribution of ciphertexts.
- Note that the proof cannot assume that the cipher is the one-time-pad, but rather only that Pr(plaintext = P | ciphertext = C) = Pr(plaintext = P)

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# What we've learned today

- Introduction
- Kerckhoff's Principle
- Some classic ciphers
  - Brute force attacks
  - Required key length
  - A large key does no guarantee security
- Perfect ciphers

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