

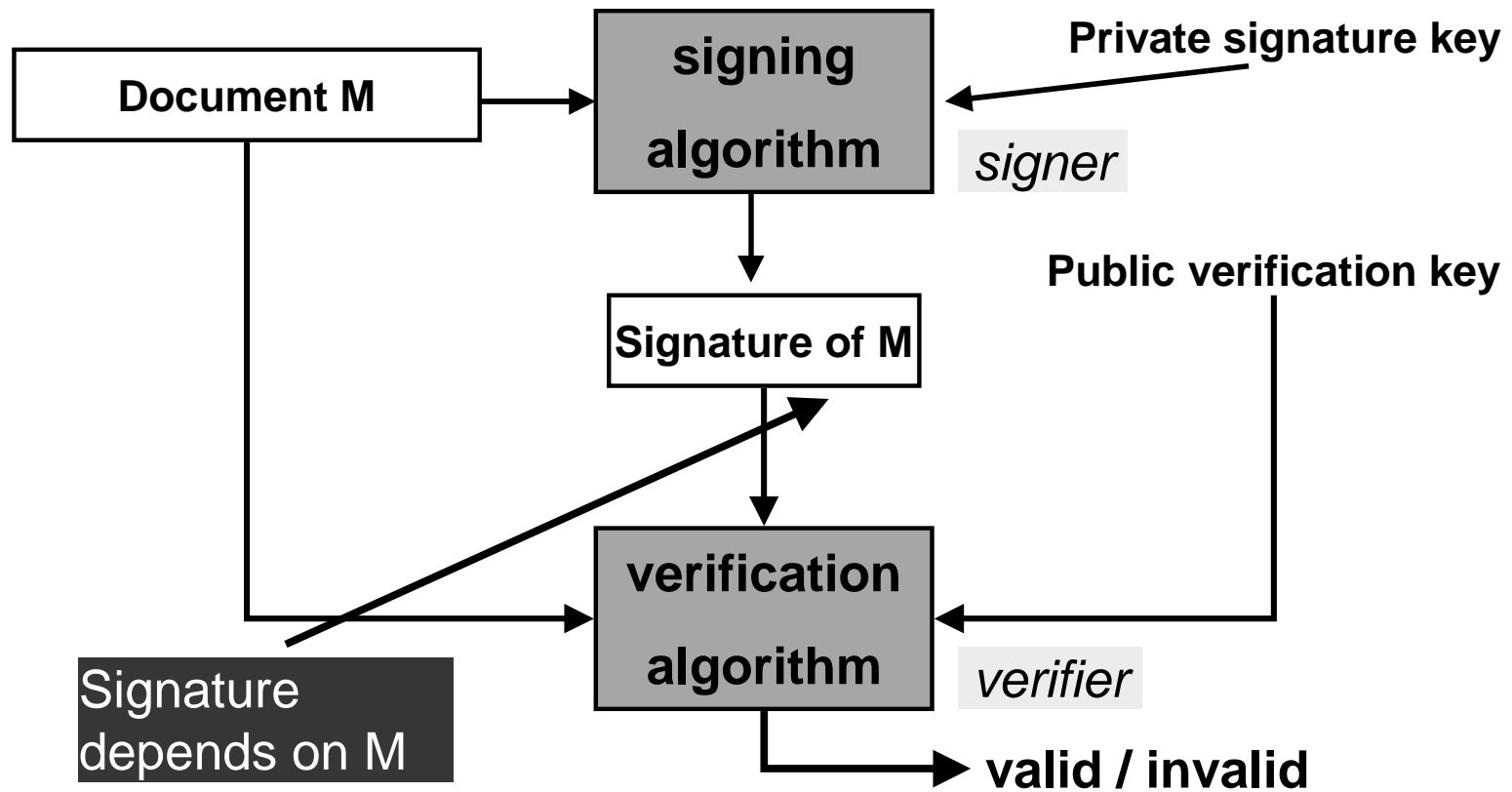
# Introduction to Cryptography

## Lecture 12

El Gamal signature,  
Public Key Infrastructure (PKI),  
some issues in number theory

Benny Pinkas

# Signing/verification process



## RSA with a full domain hash function

- Signature is  $\text{sig}(m) = f^{-1}(H(m)) = (H(m))^d \bmod N$ .
  - $H()$  is such that its range is  $[1, N]$
- *The system is no longer homomorphic*
  - $\text{sig}(m) \cdot \text{sig}(m') \neq \text{sig}(m \cdot m')$
- *Seems hard to generate a random signature*
  - Computing  $s^e$  is insufficient, since it is also required to show  $m$  s.t.  $H(m) = s^e$ .
- Proof of security in the random oracle model – where  $H()$  is modeled as a random function

## El Gamal signature scheme

- Invented by same person but different than the encryption scheme. (think why)
- A randomized signature: same message can have different signatures.
- Based on the hardness of extracting discrete logs
- The DSA (Digital Signature Algorithm/Standard) that was adopted by NIST in 1994 is a variation of El-Gamal signatures.

## El Gamal signatures

- Key generation:
  - Work in a group  $Z_p^*$  where discrete log is hard.
  - Let  $g$  be a generator of  $Z_p^*$ .
  - Private key  $1 < a < p-1$ .
  - Public key  $p, g, y=g^a$ .
- Signature: (of  $M$ )
  - Pick random  $1 < k < p-1$ , s.t.  $\gcd(k, p-1)=1$ .
  - Compute  $m=H(M)$ .
    - $r = g^k \bmod p$ .
    - $s = (m - r \cdot a) \cdot k^{-1} \bmod (p-1)$
  - Signature is  $r, s$ .

## El Gamal signatures

- Signature:
  - Pick random  $1 < k < p-1$ , s.t.  $\gcd(k, p-1)=1$ .
  - Compute
    - $r = g^k \bmod p$ .
    - $s = (m - r \cdot a) \cdot k^{-1} \bmod (p-1)$
- Verification:
  - Accept if
    - $0 < r < p$
    - $y^r \cdot r^s \equiv g^m \bmod p$
- It works since  $y^r \cdot r^s = (g^a)^r \cdot (g^k)^s = g^{ar} \cdot g^{m-ra} = g^m$
- Overhead:
  - Signature: one (offline) exp.    Verification: three exps.

same  $r$  in  
both places!

## El Gamal signature: comments

- Can work in any finite Abelian group
  - The discrete log problem appears to be harder in elliptic curves over finite fields than in  $Z_p^*$  of the same size.
  - Therefore can use smaller groups  $\Rightarrow$  shorter signatures.
- Forging: find  $y^r \cdot r^s = g^m \pmod p$ 
  - E.g., choose random  $r = g^k$  and either solve dlog of  $g^m/y^r$  to the base  $r$ , or find  $s=k^{-1}(m - \log_g y \cdot r)$  (????)
- Notes:
  - A different  $k$  must be used for every signature
  - If no hash function is used (i.e. sign  $M$  rather than  $m=H(M)$ ), existential forgery is possible
  - If receiver doesn't check that  $0 < r < p$ , adversary can sign messages of his choice.

## Trusting public keys

- Public key technology requires every user to remember its private key, and to have access to other users' public keys
- How can the user verify that a public key  $PK_v$  corresponds to user  $v$ ?
  - What can go wrong otherwise?
- A simple solution:
  - A trusted public repository of public keys and corresponding identities
    - Doesn't scale up
    - Requires online access per usage of a new public key



## Certification Authorities (CA)

- A method to bootstrap trust
  - Start by trusting a single party and knowing its public key
  - Use this to establish trust with other parties (and associate them with public keys)
- The Certificate Authority (CA) is trusted party.
  - All users have a copy of the public key of the CA
  - The CA signs Alice's digital certificate. A simplified certificate is of the form *(Alice, Alice's public key)*.

## Certification Authorities (CA)

- When we get Alice's certificate, we
  - Examine the identity in the certificate
  - Verify the signature
  - Use the public key given in the certificate to
    - Encrypt messages to Alice
    - Or, verify signatures of Alice
- The certificate can be sent by Alice without any online interaction with the CA.

# Certificates

- A certificate usually contains the following information
  - Owner's name
  - Owner's public key
  - Encryption/signature algorithm
  - Name of the CA
  - Serial number of the certificate
  - Expiry date of the certificate
  - ...
- Your web browser contains the public keys of some CAs
- A web site identifies itself by presenting a certificate which is signed by a chain starting at one of these CAs

## Certification Authorities (CA)

- Unlike KDCs, the CA does not have to be online to provide keys to users
  - It can therefore be better secured than a KDC
  - The CA does not have to be available all the time
- Users only keep a single public key – of the CA
- The certificates are not secret. They can be stored in a public place.
- When a user wants to communicate with Alice, it can get her certificate from either her, the CA, or a public repository.
- A compromised CA
  - can mount active attacks (certifying keys as being Alice's)
  - but it cannot decrypt conversations.

# An example of an X.509 certificate

Certificate:

Data:

**Version:** 1 (0x0)

**Serial Number:** 7829 (0x1e95)

**Signature Algorithm:** md5WithRSAEncryption

**Issuer:** C=ZA, ST=Western Cape, L=Cape Town, O=Thawte Consulting cc,  
OU=Certification Services Division, CN=Thawte Server  
CA/emailAddress=server-certs@thawte.com

**Validity**

**Not Before:** Jul 9 16:04:02 1998 GMT

**Not After :** Jul 9 16:04:02 1999 GMT

**Subject:** C=US, ST=Maryland, L=Pasadena, O=Brent Baccala, OU=FreeSoft,  
CN=www.freesoft.org/emailAddress=baccala@freesoft.org

**Subject Public Key Info:**

**Public Key Algorithm:** rsaEncryption

**RSA Public Key:** (1024 bit)

**Modulus (1024 bit):** 00:b4:31:98:0a:c4:bc:62:c1:88:aa:dc:b0:c8:bb:

33:35:19:d5:0c:64:b9:3d:41:b2:96:fc:f3:31:e1:

66:36:d0:8e:56:12:44:ba:75:eb:e8:1c:9c:5b:66:

70:33:52:14:c9:ec:4f:91:51:70:39:de:53:85:17:

16:94:6e:ee:f4:d5:6f:d5:ca:b3:47:5e:1b:0c:7b:

c5:cc:2b:6b:c1:90:c3:16:31:0d:bf:7a:c7:47:77:

8f:a0:21:c7:4c:d0:16:65:00:c1:0f:d7:b8:80:e3:

d2:75:6b:c1:ea:9e:5c:5c:ea:7d:c1:a1:10:bc:b8: e8:35:1c:9e:27:52:7e:41:8f

**Exponent:** 65537 (0x10001)

Signature Algorithm: md5WithRSAEncryption

93:5f:8f:5f:c5:af:bf:0a:ab:a5:6d:fb:24:5f:b6:59:5d:9d:

92:2e:4a:1b:8b:ac:7d:99:17:5d:cd:19:f6:ad:ef:63:2f:92:...

Edit View Go Bookmarks Tools Help  
 Certificate Viewer: "www.bankpoalim.co.il"

**General** Details

**This certificate has been verified for the following uses:**  
 SSL Server Certificate

**Issued To**  
 Common Name (CN) www.bankpoalim.co.il  
 Organization (O) Bank Hapoalim Ltd.  
 Organizational Unit (OU) Internet departement  
 Serial Number 6C:F8:30:09:B9:46:C5:FA:11:8A:40:CD:14:6A:EB:A3

**Issued By**  
 Common Name (CN) <Not Part Of Certificate>  
 Organization (O) VeriSign Trust Network  
 Organizational Unit (OU) VeriSign, Inc.

**Validity**  
 Issued On 7/12/2004  
 Expires On 7/13/2005

**Fingerprints**  
 SHA1 Fingerprint 11:E2:F6:A4:E3:05:F9:96:7F:E6:09:40:17:47:A9:20:1F:C8:96:9F  
 MD5 Fingerprint 6C:E9:C5:CD:40:E1:28:3A:9F:49:5D:D8:5A:F4:94:EB

Help Close

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**בנק הפועלים**

**ברוכים הבאים**

לצורך כניסה לשירות יש להקל  
 "כניסה לחשבונך".

: קוד משתמש ?  
 : ת.ז. ?  
 : סיסמא ?

**כניסה**

זה מאובטח בשיטות  
 לחצו כאן לפרטים נוספים.

כל הזכויות שמורות לבנק

מפקידים לקופ"ג  
 ונהנים ממענק מיוחד  
 הפקידו עכשיו לקופ"ג  
 דרך פועלים באינטרנט  
 ותיהנו מהחזר דמי ניהול  
 בשיעור של 0.25%  
 מסכום ההפקדה.  
 לפרטים נוספים

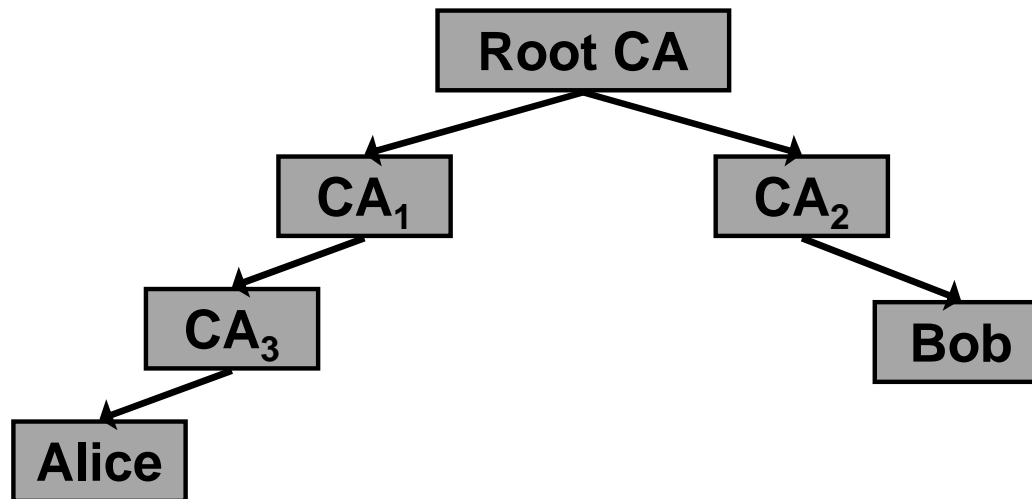
www.bankpoa

# Public Key Infrastructure (PKI)

- The goal: build trust on a global level
- Running a CA:
  - If people trust you to vouch for other parties, everyone needs you.
  - A license to print money
  - But,
    - The CA should limit its responsibilities, buy insurance...
    - It should maintain a high level of security
    - Bootstrapping: how would everyone get the CA's public key?

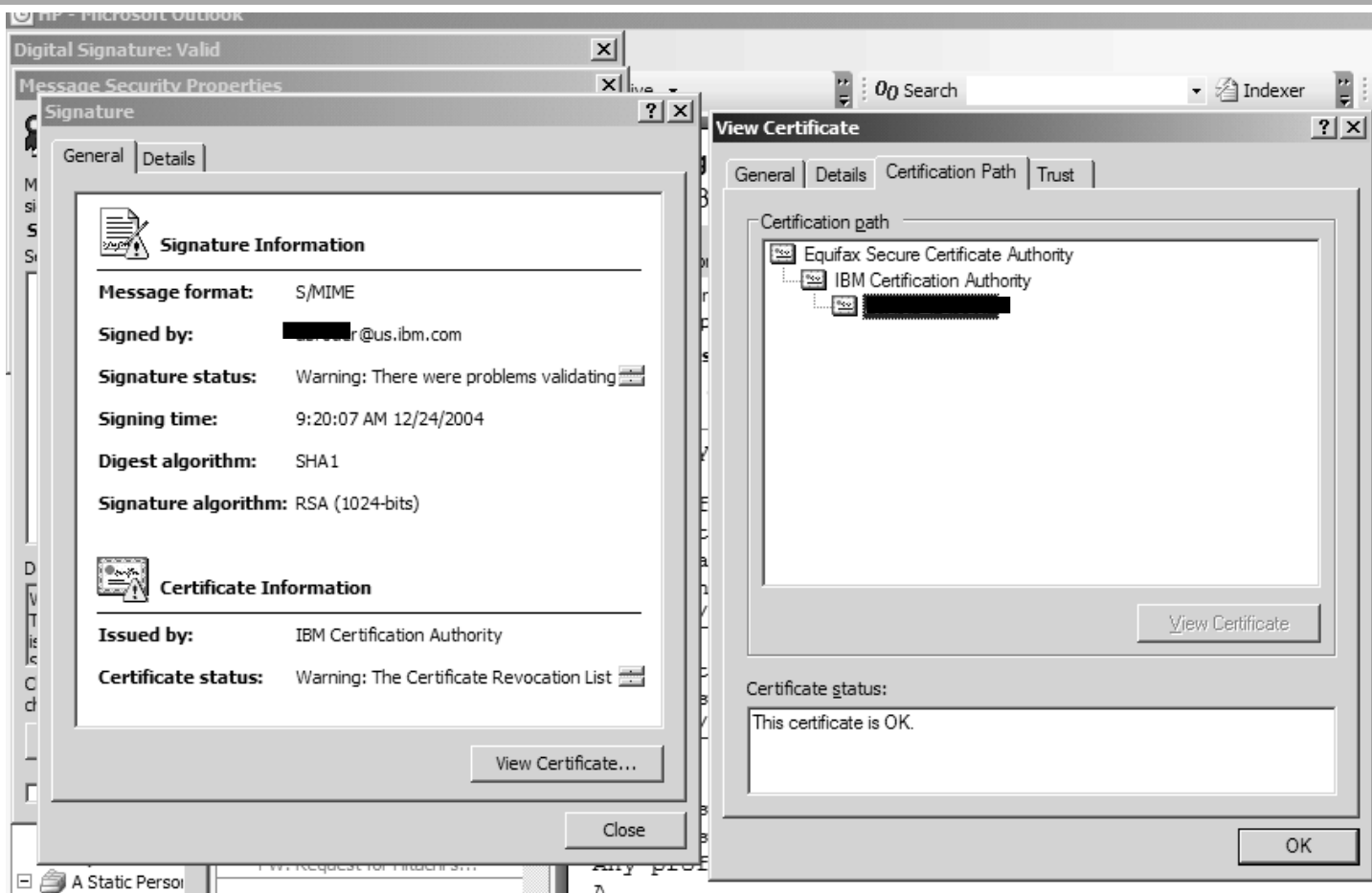
# Public Key Infrastructure (PKI)

- Monopoly: a single CA vouches for all public keys
  - Suitable in particular for enterprises.
- Monopoly + delegated CAs:
  - top level CA can issue *special* certificates for other CAs
  - Certificates of the form
    - [ (Alice,  $PK_A$ )<sub>CA3</sub>, (CA3,  $PK_{CA3}$ )<sub>CA1</sub>, (CA1,  $PK_{CA1}$ )<sub>ROOT-CA</sub> ]





# Certificate chain



# Revocation

- Revocation is a key component of PKI
  - Each certificate has an expiry date
  - But certificates might get stolen, employees might leave companies, etc.
  - Certificates might therefore need to be revoked before their expiry date
  - New problem: before using a certificate we must verify that it has not been revoked
    - Often the most costly aspect of running a large scale public key infrastructure (PKI)
    - How can this be done efficiently?
    - (we won't discuss this issue this year)

# SSL / TLS

# SSL/TLS

- General structure of secure HTTP connections
  - To connect to a secure web site using SSL or TLS, we send an `https://` command
  - The web site sends back a public key<sup>(1)</sup>, and a certificate.
  - Our browser
    - Checks that the certificate belongs to the url we're visiting
    - Checks the expiration date
    - Checks that the certificate is signed by a CA whose public key is known to the browser
    - Checks the signature
    - If everything is fine, it chooses a session key and sends it to the server encrypted with RSA using the server's public key

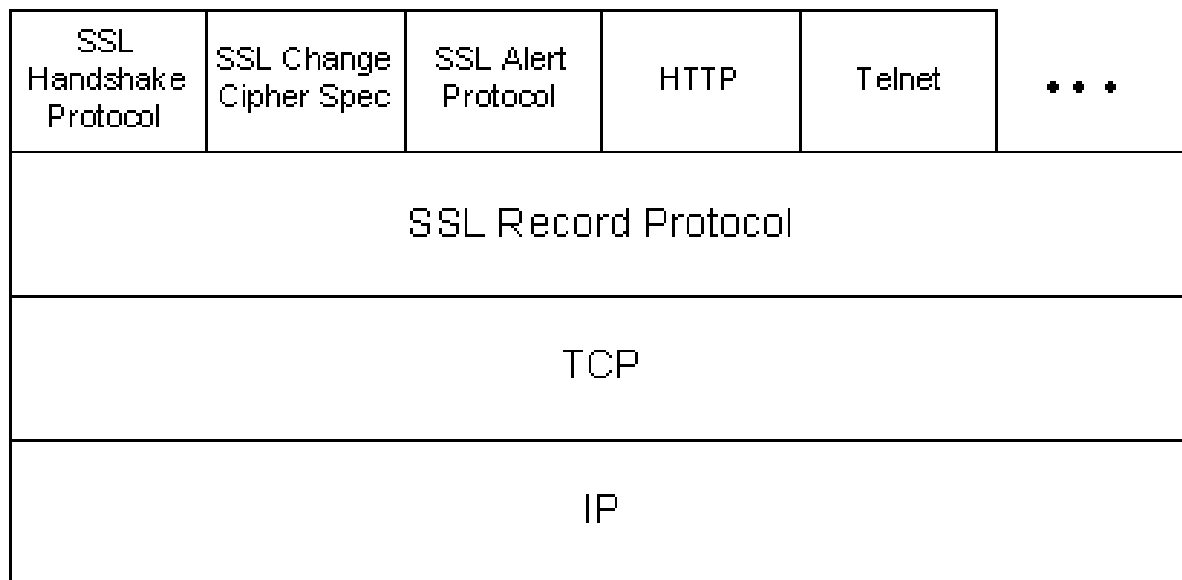
<sup>(1)</sup> This is a very simplified version of the actual protocol.

# SSL/TLS

- SSL (Secure Sockets Layer)
  - SSL v2
    - Released in 1995 with Netscape 1.1
    - A flaw found in the key generation algorithm
  - SSL v3
    - Improved, released in 1996
    - Public design process
- TLS (Transport Layer Security)
  - IETF standard, RFC 2246
- Common browsers support all these protocols

# SSL Protocol Stack

- SSL/TLS operates over TCP, which ensures reliable transport.
- Supports any application protocol (usually used with http).



## SSL/TLS Overview

- Handshake Protocol - establishes a session
  - Agreement on algorithms and security parameters
  - Identity authentication
  - Agreement on a key
  - Report error conditions to each other
- Record Protocol - Secures the transferred data
  - Message encryption and authentication
- Alert Protocol – Error notification (including “fatal” errors).
- Change Cipher Protocol – Activates the pending crypto suite

# Simplified SSL Handshake

Client

Server

I want to talk, ciphers I support,  $R_C$

Certificate ( $PK_{Server}$ ), cipher I choose,  $R_S$

$\{S\}_{PK_{server}}$ , {keyed hash of handshake message}

compute  
 $K = f(S, R_C, R_S)$

{keyed hash of handshake message}

compute  
 $K = f(S, R_C, R_S)$

Data protected by keys derived from  $K$



## A typical run of a TLS protocol

- $C \Rightarrow S$ 
  - ClientHello.protocol.version = “TLS version 1.0”
  - ClientHello.random =  $T_C, N_C$
  - ClientHello.session\_id = “NULL”
  - ClientHello.crypto\_suite = “RSA: encryption.SHA-1:HMAC”
  - ClientHello.compression\_method = “NULL”
- $S \Rightarrow C$ 
  - ServerHello.protocol.version = “TLS version 1.0”
  - ServerHello.random =  $T_S, N_S$
  - ServerHello.session\_id = “1234”
  - ServerHello.crypto\_suite = “RSA: encryption.SHA-1:HMAC”
  - ServerHello.compression\_method = “NULL”
  - ServerCertificate = pointer to server’s certificate
  - ServerHelloDone

## Some additional issues

- More on  $S \Rightarrow C$ 
  - The ServerHello message can also contain Certificate Request Message
  - I.e., server may request client to send its certificate
  - Two fields: certificate type and acceptable CAs
- Negotiating crypto suites
  - The crypto suite defines the encryption and authentication algorithms and the key lengths to be used.
  - ~30 predefined standard crypto suites
  - Selection (SSL v3): Client proposes a set of suites. Server selects one.

## Key generation

- Key computation:
  - The key is generated in two steps:
  - *pre-master secret*  $S$  is exchanged during handshake
  - *master secret*  $K$  is a 48 byte value calculated using pre-master secret and the random nonces
- Session vs. Connection: a *session* is relatively long lived. Multiple TCP *connections* can be supported under the same SSL/TSL connection.
- For each connection: 6 keys are generated from the master secret  $K$  and from the nonces. (For each direction: encryption key, authentication key, IV.)

# TLS Record Protocol

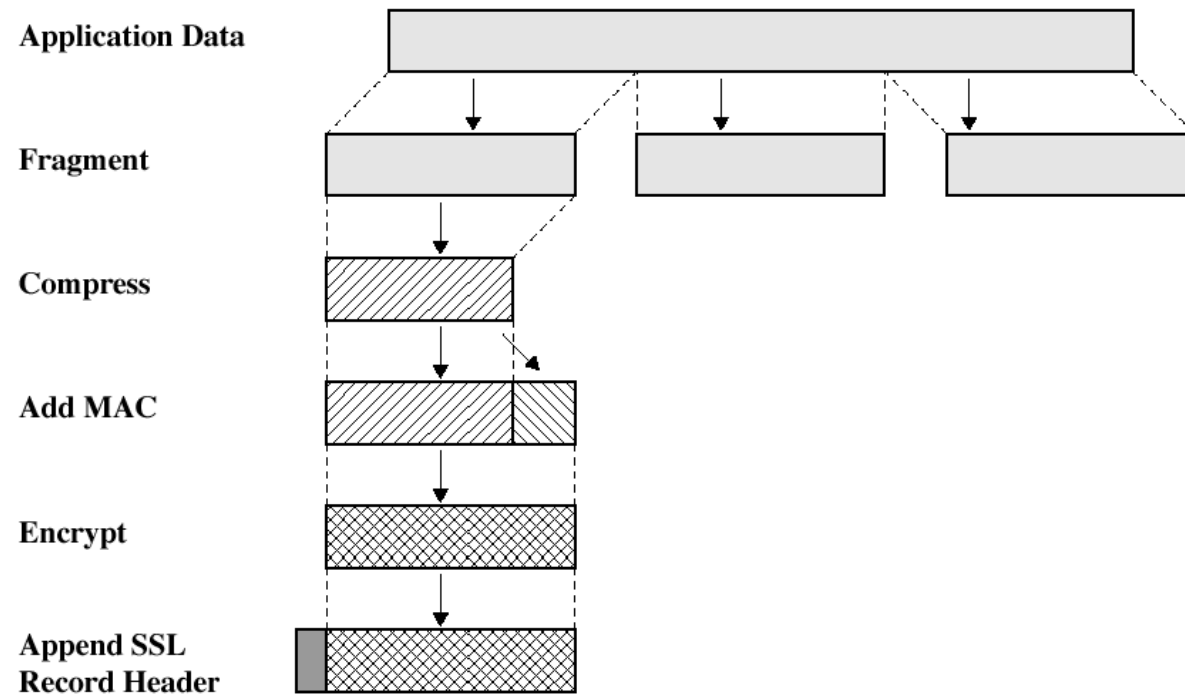


Figure 17.3 SSL Record Protocol Operation

# Some practical issues in number theory

## Primality testing

- Why do we need primality testing?
  - Essentially all public key cryptographic algorithms use large prime numbers
  - We therefore need an algorithm for prime number generation
  - Suppose we have an algorithm “PrimalityTest” with a binary output.
  - We can generate random primes as follows

`GeneratePrime(a,b)`

1. Choose random number  $x \in [a,b]$
2. If `PrimalityTest(x)` then output “x is prime”; otherwise goto line 1.

## Density of prime numbers

- How long will GeneratePrime run?
- Let  $\pi(n)$  specify number of primes  $\leq n$ .
- Prime number theorem:
  - $\pi(n)$  goes to  $n / \ln n$  as  $n$  goes to infinity.
- Pretty accurate even for small  $n$  (e.g. for  $n=2^{30}$  it is off by 6%).
- Corollary: a random number in  $[1, n]$  is prime with probability  $1/\ln n$ . (e.g. for  $n=2^{512}$ , probability is  $1/355$ ).
  - The GeneratePrime algorithm is expected to take  $\ln n$  rounds.
  - If we skip even numbers, we cut running time by  $1/2$ .

## Primality testing

- Primality testing is a decision problem: “is  $x$  prime or composite?”
- Different than the search problem “find all prime factors of  $x$ ” (“factor  $x$ ”).
- In this case, the decision problem has an efficient solution while the search problem does not.
  
- First algorithm: Trial division
  - Try to divide  $x$  by every prime integer smaller than  $\sqrt{x}$  ( $\text{sqrt}(x)$ ).
  - Infeasible for large  $x$ .



## Fermat's test

- Fermat's theorem: if  $p$  is prime then for all  $1 \leq a < p$  it holds that  $a^{p-1} = 1 \pmod{p}$ .
- If we can find an  $a$  s.t.  $a^{x-1} \neq 1 \pmod{x}$ , then  $x$  is surely composite.
  - Surprisingly, the converse is almost always true, and for a large percentage of the choices of  $a$ .
  - Suppose we check only for  $a=2$ .
    - If  $2^{x-1} \neq 1 \pmod{x}$ 
      - Then return COMPOSITE /for sure
      - Otherwise, return PRIME /we hope
  - How accurate is this program?

## Fermat's test

- Surprisingly, this test is almost always right
  - Wrong for only 22 values of  $x$  smaller than 100,000
  - Probability of error goes down to 0 as  $x$  grows
    - For  $|x|=512$  bits, probability of error is  $< 10^{-20} \approx 2^{-66}$
    - For  $|x|=1024$  bits, probability of error is  $< 10^{-41} \approx 2^{-136}$
- The test is therefore sufficient for randomly chosen candidate primes
- But we need a better test if  $x$  is not chosen at random
- Cannot eliminate errors by checking for bases  $\neq 2$ 
  - $x$  is a Carmichael number if it is composite, but  $a^{x-1} = 1 \pmod{x}$  for all  $1 \leq a < x$ .
  - There are infinitely many Carmichael numbers
  - But they are very rare

## Miller-Rabin test

- Works for all numbers (even Carmichael numbers).
  - Checks several randomly chosen bases  $a$
  - If it finds out that  $a^{x-1} = 1 \pmod{x}$ , it checks whether the process found a nontrivial root of 1 ( $\neq 1, -1$ ). If so, it outputs COMPOSITE.

### The Miller-Rabin test:

1. Write  $x-1=2^c r$  for an odd  $r$ . set  $comp=0$ .
2. For  $i=1$  to  $T$ 
  - Pick random  $a \in [1, x-1]$ . If  $\gcd(a, x) > 1$  set  $comp=1$ .
  - Compute  $y_0 = a^r \pmod{x}$ ,  $y_i = (y_{i-1})^2 \pmod{x}$  for  $i=1..c$ . If  $y_c \neq 1$ , or  $\exists i, y_i = 1, y_{i-1} \neq \pm 1$ , set  $comp=1$ .
3. If  $comp=1$  return COMPOSITE, else PRIME.

## Miller-Rabin test

- Possible values for the sequence  $y_0=a^r, y_1=a^{2r} \dots y_c=a^{x-1}$ .
  - $\langle \dots, d \rangle$ , where  $d \neq 1$ , decide COMPOSITE.
  - $\langle 1, 1, \dots, 1 \rangle$ , decide PRIME.
  - $\langle \dots, -1, 1, \dots, 1 \rangle$ , decide PRIME.
  - $\langle \dots, d, 1, \dots, 1 \rangle$ , where  $d \neq \pm 1$ , decide COMPOSITE.
- For a composite number  $x$ , we denote a base  $a$  as a non-witness if it results in the output being “PRIME”.
- Lemma: if  $x$  is an odd composite number then the number of non-witnesses is at most  $x/4$ .
- Therefore, for any odd integer  $x$ ,  $T$  trials give the wrong answer with probability  $< (1/4)^T$ .

## Breaking News

- Primes  $\in P$ 
  - Agrawal, Kayal, Saxena (2004)

## Integer factorization

- The RSA and Rabin cryptosystems use a modulus  $N$  and are insecure if it is possible to factor  $N$ .
- Factorization: given  $N$  find all prime factors of  $N$ .
- Factoring is the search problem corresponding to the primality testing decision problem.
  - Primality testing is easy
  - What about factoring?

## Modern factoring algorithms

- The number-theoretic running time function  $L_n(a,c)$

$$L_n(a,c) = e^{c(\ln n)^a (\ln \ln n)^{1-a}}$$

- For  $a=0$ , the running time is polynomial in  $\ln(n)$ .
  - For  $a=1$ , the running time is exponential in  $\ln(n)$ .
  - For  $0 < a < 1$ , the running time is subexponential.
- Factoring algorithms
    - Quadratic field sieve:  $L_n(1/2, 1)$
    - General number field sieve:  $L_n(1/3, 1.9323)$
    - Elliptic curve method  $L_p(1/2, 1.41)$  (preferable only if  $p \ll \sqrt{n}$ )

## Modulus size recommendations

- Factoring algorithms are run on massively distributed networks of computers (running in their idle time).
- RSA published a list of factoring challenges.
- A 512 bit challenge was factored in 1999.
- The largest factored number  $n=pq$ .
  - 640 bits (RSA-640)
  - Factored on November 2, 2005 using the NFS
- Typical current choices:
  - At least 1024-bit RSA moduli should be used
  - For better security, longer RSA moduli are used
  - For more sensitive applications, key lengths of 2048 bits (or higher) are used



# Discrete log algorithms

- Input:  $(g,y)$  in a finite group  $G$ . Output:  $x$  s.t.  $g^x = y$  in  $G$ .
- Generic vs. special purpose algorithms: generic algorithms do not exploit the representation of group elements.
- Algorithms
  - Baby-step giant-step: Generic.  $|G|$  can be unknown.  $\text{Sqrt}(|G|)$  running time and memory.
  - Pollard's rho method: Generic.  $|G|$  must be known.  $\text{Sqrt}(|G|)$  running time and  $O(1)$  memory.
  - No generic algorithm can do better than  $O(\text{sqrt}(q))$ , where  $q$  is the largest prime factor of  $|G|$
  - Pohlig-Hellman: Generic.  $|G|$  and its factorization must be known.  $O(\text{sqrt}(q) \ln q)$ , where  $q$  is largest prime factor of  $|G|$ .
  - Therefore for  $Z_p^*$ ,  $p-1$  must have a large prime factor.
  - Index calculus algorithm for  $Z_p^*$ :  $L(1/2, c)$
  - Number field sieve for  $Z_p^*$ :  $L(1/3, 1.923)$

## Elliptic Curves

- The best discrete log algorithm which works even if  $|G|$  can be unknown is the baby-step giant-step algorithm.
  - $\text{Sqrt}(|G|)$  running time and memory.
- Other (more efficient) algorithms must know  $|G|$ .
  - In  $Z_p^*$  we know that  $|Z_p^*| = p-1$ .
- Elliptic curves are groups  $G$  where
  - The Diffie-Hellman assumption is assumed to hold, and therefore we can run DH an ElGamal encryption/signs.
  - $|G|$  is unknown and therefore the best discrete log algorithm is pretty slow
  - It is therefore believed that a small Elliptic Curve group is as secure as larger  $Z_p^*$  group.
  - Smaller group  $\rightarrow$  smaller keys and more efficient operations.

## Baby-step giant-step DL algorithm

- Let  $t = \sqrt{|G|}$ .
- $x$  can be represented as  $x = ut - v$ , where  $u, v < \sqrt{|G|}$ .
- The algorithm:
  - Giant step: compute the pairs  $(j, g^{j \cdot t})$ , for  $0 \leq j \leq t$ . Store in a table keyed by  $g^{j \cdot t}$ .
  - Baby step: compute  $y \cdot g^i$  for  $i = 0, 1, 2, \dots$ , until you hit an item  $(j, g^{j \cdot t})$  in the table.  $x = jt - i$ .
- Memory and running time are  $O(\sqrt{|G|})$ .

# Baby-step giant-step DL algorithm

