

# Introduction to Cryptography

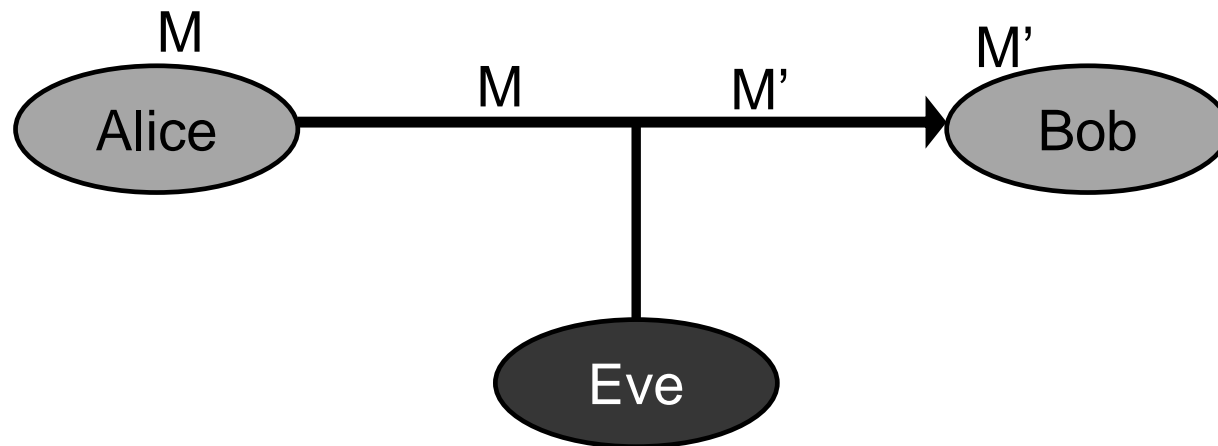
## Lecture 4

Message authentication  
Hash functions

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# Data Integrity, Message Authentication

- Risk: an *active* adversary might change messages exchanged between Alice and Bob



- Authentication is orthogonal to secrecy. A relevant challenge regardless of whether encryption is applied.

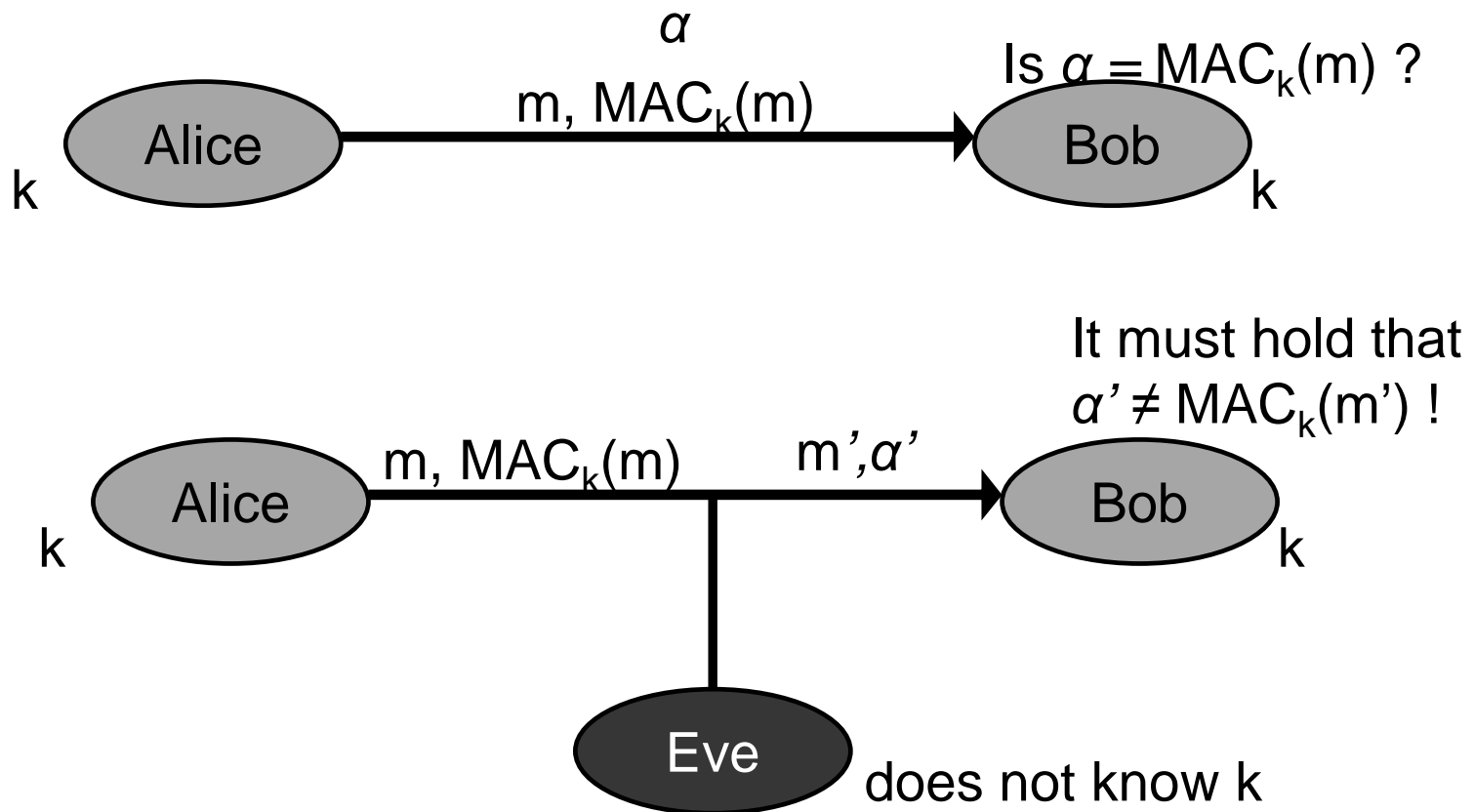
# One Time Pad

- OTP is a perfect cipher, yet provides no authentication
  - Plaintext  $x_1x_2\dots x_n$
  - Key  $k_1k_2\dots k_n$
  - Ciphertext  $c_1=x_1\oplus k_1, c_2=x_2\oplus k_2, \dots, c_n=x_n\oplus k_n$
- Adversary changes, e.g.,  $c_2$  to  $1\oplus c_2$
- User decrypts  $1\oplus x_2$
- Error-detection codes are insufficient. (For example, linear codes can be changed by the adversary, even if encrypted.)

# Definitions

- Scenario: Alice and Bob share a secret key  $K$ .
- Authentication algorithm:
  - Compute a Message Authentication Code:  $\alpha = \text{MAC}_K(m)$ .
  - Send  $m$  and  $\alpha$
- Verification algorithm:  $V_K(m, \alpha)$ .
  - $V_K(m, \text{MAC}_K(m)) = \text{accept}$ .
  - For  $\alpha \neq \text{MAC}_K(m)$ ,  $V_K(m, \alpha) = \text{reject}$ .
- How does  $V_K(m)$  work?
  - Receiver knows  $k$ . Receives  $m$  and  $\alpha$ .
  - Receiver uses  $k$  to compute  $\text{MAC}_K(m)$ .
  - $V_K(m, \alpha) = 1$  iff  $\text{MAC}_K(m) = \alpha$ .

## Common Usage of MACs for message authentication



# Requirements

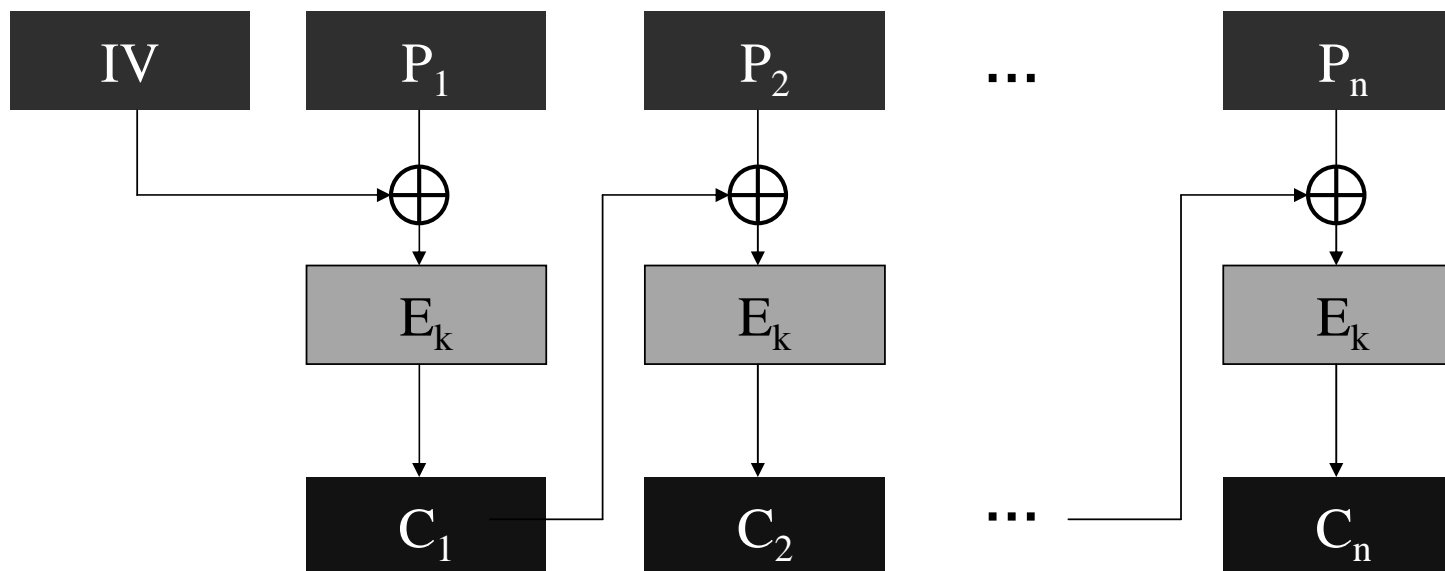
- Security: The adversary,
  - Knows the MAC algorithm (but not  $K$ ).
  - Is given many pairs  $(m_i, MAC_K(m_i))$ , where the  $m_i$  values might also be chosen by the adversary (chosen plaintext).
  - Cannot compute  $(m, MAC_K(m))$  for any new  $m$  ( $\forall i m \neq m_i$ ).
  - The adversary must not be able to compute  $MAC_K(m)$  *even* for a message  $m$  which is “meaningless” (since we don’t know the context of the attack).
- Efficiency: output must be of fixed length, and as short as possible.
  - $\Rightarrow$  The MAC function is not 1-to-1.
  - $\Rightarrow$  An  $n$  bit MAC can be broken with prob. of at least  $2^{-n}$ .

# Constructing MACs

- Based on block ciphers (CBC-MAC)  
or,
- Based on hash functions
  - More efficient
  - At the time, encryption technology was controlled (export restricted) and it was preferable to use other means when possible.

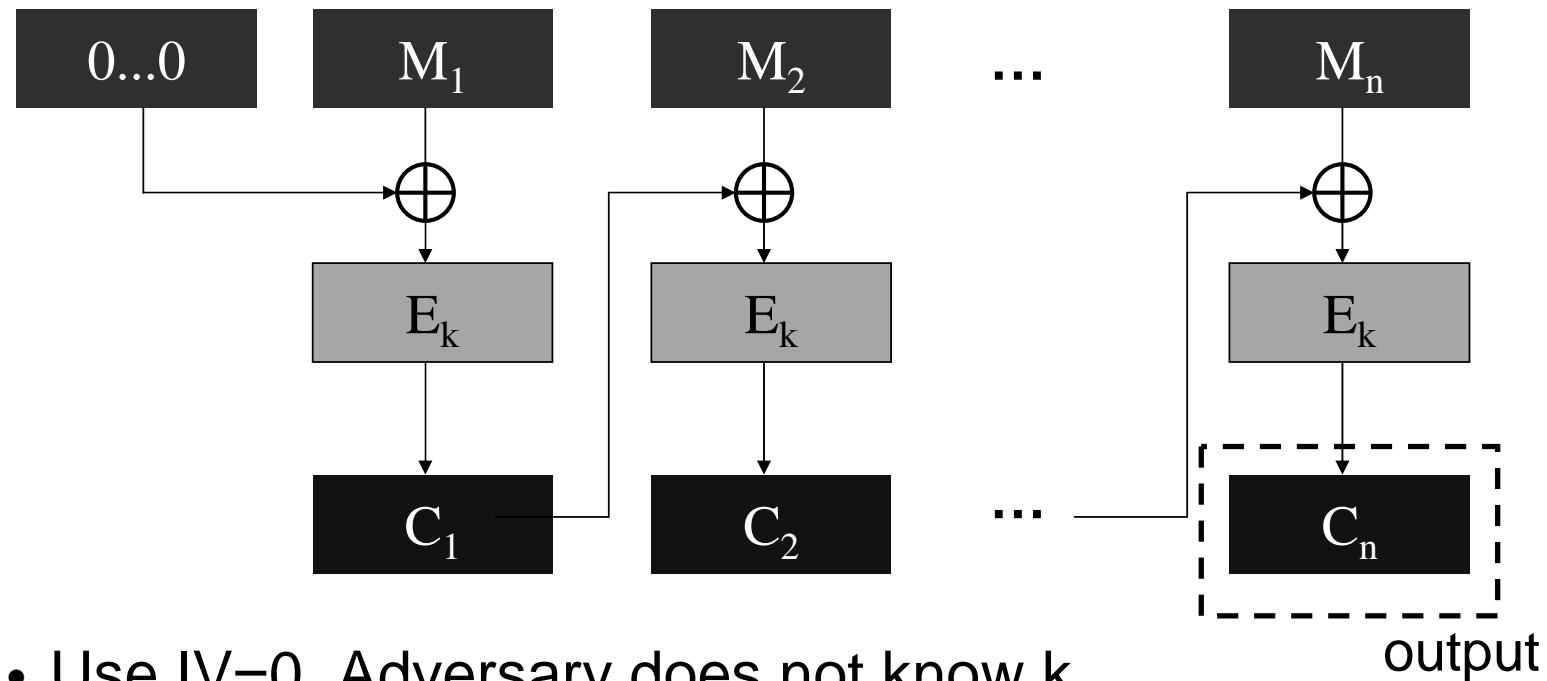
# CBC

- Reminder: CBC encryption
- Plaintext block is xored with previous ciphertext block





# CBC MAC



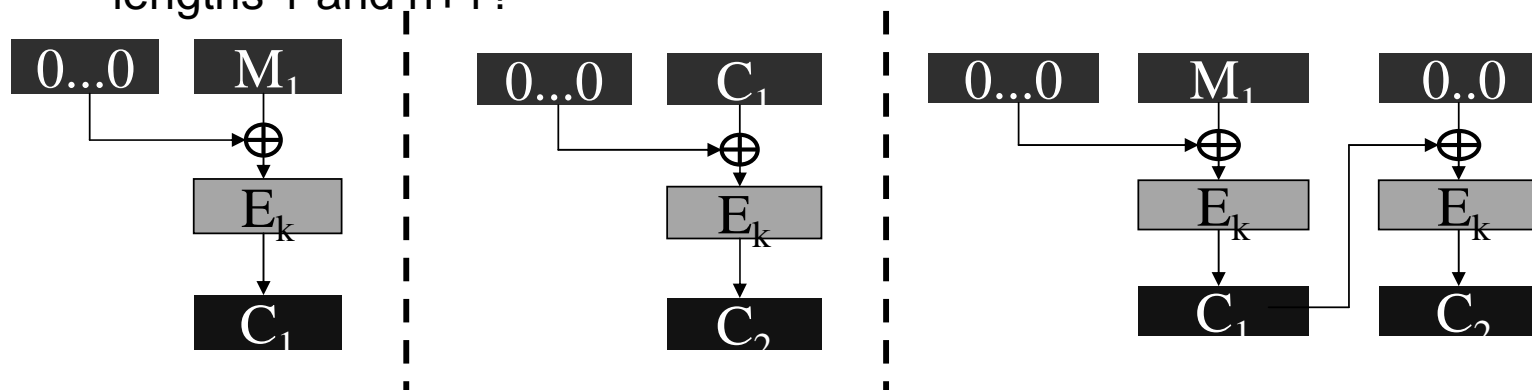
- Use  $IV=0$ . Adversary does not know  $k$ .
- Encrypt  $M$  in CBC mode, using the MAC key. Discard  $C_1, \dots, C_{n-1}$  and define  $\text{MAC}_K(M_1, \dots, M_n) = C_n$ .

## Security of CBC-MAC

- Claim: if  $E_K$  is pseudo-random then CBC-MAC, applied to *fixed length messages*, is a pseudo-random function, and is therefore resilient to forgery.
- But, insecure if variable lengths messages are allowed

# Security of CBC-MAC

- Insecurity of CBC-MAC when applied to messages of variable length:
  - Get  $C_1 = \text{CBC-MAC}_K(M_1) = E_K(0 \oplus M_1)$
  - Ask for MAC of  $C_1$ , i.e.,  $C_2 = \text{CBC-MAC}_K(C_1) = E_K(0 \oplus C_1)$
  - But,  $E_K(C_1 \oplus 0) = E_K(E_K(0 \oplus M_1) \oplus 0) = \text{CBC-MAC}_K(M_1 \parallel 0)$
- It's known that CBC-MAC is secure if message space is prefix-free.
- Can you show, for every  $n$ , a collision between two messages of lengths 1 and  $n+1$ ?



## CBC-MAC for variable length messages

- Solution 1: The first block of the message is set to be its length. I.e., to authenticate  $M_1, \dots, M_n$ , apply CBC-MAC to  $(n, M_1, \dots, M_n)$ .
  - Works since now message space is prefix-free.
  - Drawback: The message length  $(n)$  must be known in advance.
- “Solution 2”: apply CBC-MAC to  $(M_1, \dots, M_n, n)$ 
  - Message length does not have to be known in advance
  - But, this scheme is broken (see, M. Bellare, J. Kilian, P. Rogaway, The Security of Cipher Block Chaining, 1984)
- Solution 3: (preferable)
  - Use a second key  $K'$ .
  - Compute  $\text{MAC}_{K, K'}(M_1, \dots, M_n) = E_{K'}(\text{MAC}_K(M_1, \dots, M_n))$
  - Essentially the same overhead as CBC-MAC

# Hash functions

- A hash function  $h:X \rightarrow Y$  maps long inputs to fixed size outputs. ( $|X| > |Y|$ )
- No secret key. The hash function algorithm is public.
- If  $|X| > |Y|$  there are collisions ( $x \neq x'$  for which  $h(x) = h(x')$ ).

# Security definitions for hash functions

1. Preimage resistance: for any  $y$ , it is hard to find  $x$  such that  $h(x)=y$ .
  2. Weak collision resistance: for any  $x \in X$ , it is hard to find  $x' \neq x$  such that  $h(x)=h(x')$ . (Also known as “universal one-way hash”, or “*second* preimage resistance”).
  3. Strong collision resistance: it is hard to find any  $x, x'$  for which  $h(x)=h(x')$ .
- It's easier to find collisions. (Under reasonable assumptions  $(3) \rightarrow (1)$ , and  $(3) \rightarrow (2)$ .) Therefore strong collision resistance is a stronger assumption.
  - Real world hash functions: MD5, SHA-1, SHA-256.

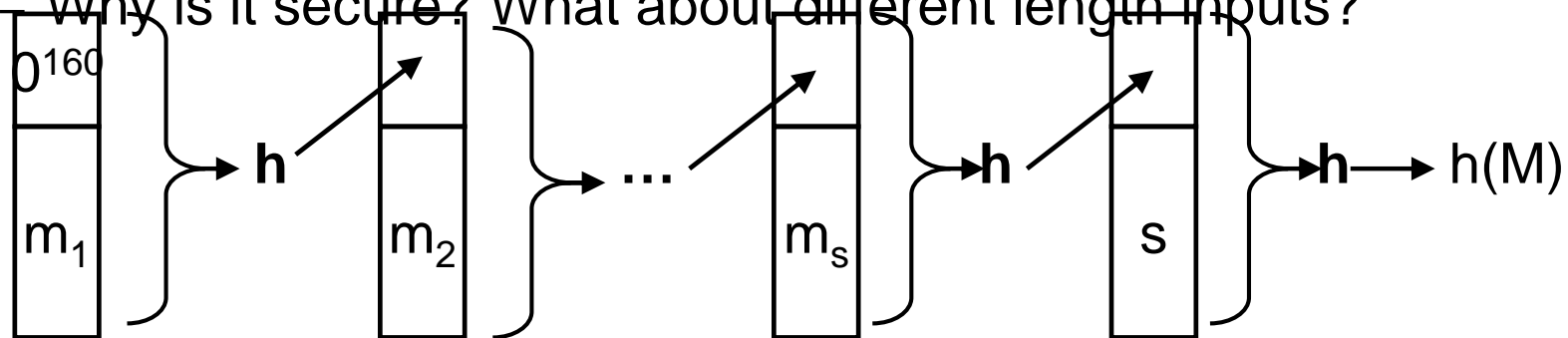
Hmm..

# The Birthday Phenomenon (Paradox)

- For 23 people chosen at random, the probability that two of them have the same birthday is  $\frac{1}{2}$ .
- Compare to: the prob. that one or more of them has the same birthday as Alan Turing is  $23/365$  (actually,  $1-(1-1/365)^{23}$ .)
- More generally, for a random  $h: X \rightarrow Z$ , if we choose about  $|Z|^{\frac{1}{2}}$  elements of  $Z$  at random ( $1.17 |Z|^{\frac{1}{2}}$ ), the probability that two of them are mapped to the same image is  $> \frac{1}{2}$ .
- Implication: it's harder to achieve strong collision resistance
  - A random function with a  $n$  bit output
    - Find  $x, x'$  with  $h(x)=h(x')$  after about  $2^{n/2}$  tries.
    - Find  $x \neq 0$  s.t.  $h(x)=h(0)$  after about  $2^n$  attempts.

## From collision-resistance for fixed length inputs, to collision-resistance for arbitrary input lengths

- Hash function:
  - Input block length is usually 512 bits ( $|X|=512$ )
  - Output length is at least 160 bits (birthday attacks)
- Extending the domain to arbitrary inputs
  - Suppose  $h:\{0,1\}^{512} \rightarrow \{0,1\}^{160}$
  - Input:  $M=m_1\dots m_s$ ,  $|m_i|=512-160=352$ . (what if  $|M|\neq 352\cdot i$  bits?)
  - Define:  $y_0=0^{160}$ .  $y_i=h(y_{i-1},m_i)$ .  $y_{s+1}=h(y_s,s)$ .  $h(M)=y_{s+1}$ .
  - Why is it secure? What about different length inputs?





## Proof

- Show that if we can find  $M \neq M'$  for which  $H(M) = H(M')$ , we can find blocks  $m \neq m'$  for which  $h(m) = h(m')$ .
- Case 1: suppose  $|M| = s$ ,  $|M'| = s'$ , and  $s \neq s'$ 
  - Then, collision:  $H(M) = h(y_s, s) = h(y_{s'}, s') = H(M')$
- Case 2:  $|M| = |M'| = s$ 
  - We know that  $H(M) = h(y_s, s) = h(y'_s, s) = H(M')$
  - If  $y_s \neq y'_s$  then we found a collision in  $h$ .
  - Otherwise, go from  $i = s-1$  to  $i = 1$ :
    - $y_{i+1} = y'_{i+1}$  implies  $h(y_i, m_{i+1}) = h(y'_i, m'_{i+1})$ .
    - If  $y_i \neq y'_i$  or  $m_{i+1} \neq m'_{i+1}$ , then we found a collision.
    - $M \neq M'$  and therefore there is an  $i$  for which  $m_{i+1} \neq m'_{i+1}$

# Basing MACs on Hash Functions

- Hash functions are not keyed.  $\text{MAC}_K$  uses a key.
- Best attack should not succeed with prob  $> \max(2^{-|k|}, 2^{-|\text{MAC}()|})$ .
- Idea: MAC combines message and a secret key, and hashes them with a collision resistant hash function.
  - E.g.  $\text{MAC}_K(m) = h(k, m)$ . (insecure.., given  $\text{MAC}_K(m)$  can compute  $\text{MAC}_K(m, |m|, m')$ , if using the MD construction)
  - $\text{MAC}_K(m) = h(m, k)$ . (insecure.., regardless of key length, use a birthday attack to find  $m, m'$  such that  $h(m) = h(m')$ .)
- How should security be proved?:
  - Show that if MAC is insecure then so is hash function  $h$ .
  - Insecurity of MAC: adversary can generate  $\text{MAC}_K(m)$  without knowing  $k$ .
  - Insecurity of  $h$ : adversary finds collisions ( $x \neq x'$ ,  $h(x) = h(x')$ .)

# HMAC

- Input: message  $m$ , a key  $K$ , and a hash function  $h$ .
- $\text{HMAC}_K(m) = h(K \oplus \text{opad}, h(K \oplus \text{ipad}, m))$ 
  - where  $\text{ipad}$ ,  $\text{opad}$  are 64 byte long fixed strings
  - $K$  is 64 byte long (if shorter, append 0s to get 64 bytes).
- Overhead: the same as that of applying  $h$  to  $m$ , plus an additional invocation to a short string.
- It was proven [BCK] that if HMAC is broken then either
  - $h$  is not collision resistant (even when the initial block is random and secret), or
  - The output of  $h$  is not “unpredictable” (when the initial block is random and secret)
- HMAC is used everywhere (SSL, IPSec).

## What we learned today

- Message authentication
  - CBC MAC
  - Hash functions
  - The birthday paradox
  - HMAC